



Pennsylvania Municipal Leaders Grant & Resource Guide

A Guidebook for Municipal Leaders & Community Service Organizations



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Inside this directory you will find information regarding grants available to local government from various departments throughout the Commonwealth. These grants are all available online through the Department websites.

Every effort is made to confirm program availability. However, grant and loan programs may change in a fiscal year.

Be sure to check websites for current dates and contact the Department directly to learn about the current status of any grant program.

Pennsylvania Department of Agriculture

agriculture.pa.gov • pagrows.pa.gov

The Pennsylvania Department of Agriculture encourages, protects and promotes agriculture and related industries throughout the commonwealth while providing consumer protection through inspection services that impact the health and financial security of Pennsylvania's citizens.

Pennsylvania's 59,000 farm families continue to be the stewards of more than 7.7 million acres of farmland. With \$7.5 billion in cash receipts annually from production agriculture, Pennsylvania farmers and agribusinesses are the leading economic driver in our state.

In addition to production agriculture, the industry also raises revenue and supplies jobs through support services such as food processing, marketing, transportation, and farm equipment. In total, production agriculture and agribusiness contributes nearly \$75 billion to Pennsylvania's economy.

Bureau, Commission and Council Telephone Numbers

- General Information (717) 787-4737
- Administrative Services (717) 346-0438
- Agriculture Education (717) 705-9512
- Agricultural Statistics (717) 787-3904 x204
- Animal Health & Diagnostic Services (717) 772-2852
- Center for Dairy Excellence (717) 346-0849
- Dog Law Enforcement (717) 787-3062
- Equine Toxicology and Research Laboratory (610) 436-3501
- Farmland Preservation (717) 783-3167
- Farm Show (717) 787-5373
- Food Distribution (717) 787-2940
- Food Safety and Laboratory Services (717) 787-4315
- Hardwoods Development Council (717) 772-3715
- Harness Racing Commission (717) 787-5196
- Horse Racing Commission (717) 787-1942
- Information Technology Services (717) 705-4041
- Invasive Species (717) 772-5225
- Legislative Office (717) 772-2854
- Market Development (717) 787-6041
- PA Preferred (717) 772-3094
- Plant Industry (717) 787-4843
- Policy Office (717) 705-2122

- Press Office (717) 787-5085
- Ride & Measurement Standards (717) 787-6772
- Rural Development Council (717) 836-3374
- State Conservation Commission (717) 787-8821
- Workforce Development (717) 346-0426

GRANTS

The Rural Cooperative Development Grant Program (RCDG)

This grant will make up to \$5.8 million available to Rural Cooperative Development Centers, which in turn, provide technical assistance to individuals and entities improving the economic condition of rural areas by supporting start-up, expansion or operational improvement of rural cooperatives and other business entities. Cooperatives have often been the mechanism used by small and mid-sized producers to work together to access new markets or market value added products. In 2013, business and cooperative funding through Rural Development helped 17,773 rural businesses, including 4,200 farmers and 4,472 small businesses. Under the 2014 Farm Bill USDA will be creating an Interagency Working Group to improve coordination of programs and services between federal agencies and national and local cooperatives through the RCDG program. Information about how to apply is on the Rural Development website.

Specialty Crops Grant Program

Each state that submits an application is reviewed and approved by the Agricultural Marketing Service (AMS) is to receive at least an amount that is equal to the higher of \$100,000, or 1/3 of 1 percent of the total amount of funding made available for the fiscal year. In addition, AMS will allocate the remainder of the grant funds based on the value of specialty crop production in each state in relation to the national value of specialty crop production using the latest available cash receipt data.

Pennsylvania Department of Agriculture procedures for grant selection are posted on the Specialty Crop link. In accordance with USDA-AMS suggested method for proposal selection; a competitive process is embraced and overseen by an appointed Advisory Board.

ELIGIBLE APPLICANTS AND PROJECTS- State and/or local organizations, producer associations, academia, community based organizations, and other specialty crops stakeholders are eligible to apply. SCBGP-FB grant funds will be awarded for projects of up to 2 years duration. Examples of projects aimed at enhancing the competitiveness of specialty crops include, but are not limited to: Increasing child and adult nutrition knowledge and consumption of specialty crops; participation of industry representatives at meetings of international standard setting bodies in which the U.S. government participates; improving efficiency and reducing costs of distribution systems; assisting all entities in the specialty crop distribution

chains in developing “Good Agricultural Practices”, “Good Handling Practices”, “Good Manufacturing Practices”, and in cost-share arrangements for funding audits of such systems for small farmers, packers and processors; investing in specialty crop research, including organic research to focus on conservation and environmental outcomes; enhancing food safety; developing new and improved seed varieties and specialty crops; pest and disease control; and sustainability. SCBGPF-FB funds shall be used for projects that enhance the competitiveness of specialty crops and benefit the specialty crop industry as a whole. Grant funds will not be awarded for projects that directly benefit a particular commercial product or provide a profit to a single organization, institution or individual. Projects must enhance specialty crop industry competitiveness. Applications for grant funds should show how the project potentially impacts and produces measurable outcomes for the specialty crop industry and/or the public rather than a single organization, institution, or individual. For more information please visit the Pennsylvania Department of Agriculture’s website.

Value Added Producer Grant (VAPG)

The primary objective of the VAPG program is to help agricultural producers enter into value-added activities related to the processing and/or marketing of bio-based value-added products. Generating new products, creating and expanding marketing opportunities, and increasing producer income are the end goals of this program. You may receive priority if you are a beginning farmer or rancher, a socially-disadvantaged farmer or rancher, a small or medium-sized farm or ranch structured as a family farm, a farmer or rancher cooperative, or are proposing a mid-tier value chain, as defined in the Program Regulation. Grants are awarded on a competitive basis.

Maximum Grant Amount: \$75,000 for planning grants; \$200,000 for working capital grants
Cost Sharing Requirement: Cash or eligible in-kind matching funds equal to at least the amount of grant funds requested.

Please visit rurdev.usda for more

assistance.

Organic Cost Share Program

Organic crop and livestock producers in the state of Pennsylvania can be reimbursed for a percentage of their certification costs. Organic crop and livestock producers in various states, including the state of Pennsylvania, can be reimbursed as much as 75 percent of their certification costs from October 1 through September 31 of the current year, up to a maximum of \$750. This program is not competitive; \$1.425 million is available for farmers and ranchers in various states.

HOW TO GET REIMBURSED- Contact your State’s department of agriculture (or its equivalent) for an application.

Assemble the following supporting documentation: proof of USDA organic certification, itemized invoice showing expenses paid for certification.

Good Agricultural Practices (GAP) / Good Handling Practices (GHP) Cost Share Program

This program provides direct financial support through cost sharing of applicable certification fees to producers and processors who successfully complete a USDA GAP or GHP audit. Any business or individual located in Pennsylvania that successfully completes one initial USDA (GAP) or (GHP) audit is eligible to apply. The cost share program is designed to help defray some of the costs related to a successful audit.

NRAC Funding Under Mini-Grant Program

The Northeast Regional Aquaculture Center (NRAC) supports research, extension education, development, and demonstration projects aimed at increasing aquaculture production, profitability, and processing. The NRAC comprises the geographical region of Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Washington D.C., and West Virginia. Qualified individuals within the region associated with any state agricultural experiment station, college, university, other re-

search institution or organization, federal agency, private organization or corporation are eligible to participate.

There is approximately \$20,000 available yearly from NRAC to fund relevant and selected projects within the mini-grants program. NRAC will fund projects for up to one year with total funding of up to \$20,000 per request. Successful proposals are expected to receive funding within 3 months of the application deadline. NRAC expects to fund between one or two projects within each normal annual round of competition per year. Mini-grant funding priorities (described on page 4) are established at Industry and Technical committee meetings sponsored by NRAC. Targeted research, extension, and demonstration areas are reviewed by NRAC’s Technical and Industry Advisory Council (TIAC) and are ultimately approved by NRAC’s Board of Directors and USDA, NIFA.

LOANS

Farm Storage Facility Loan Program

The U.S. Department of Agriculture (USDA) Farm Service Agency (FSA) Farm Storage Facility Loan Program (FSFL) provides low-interest financing for producers to build or upgrade farm storage and handling facilities. The FSA is authorized to implement the program through USDA’s Commodity Credit Corporation (CCC). For more information please contact your local FSA office or visit fsa.usda.gov/FSA/. The FSFL Fact Sheet contains information regarding:

- Eligible facilities loan commodities
- Eligibility facilities and upgrades
- Eligible cost items
- Eligibility requirements
- Security requirements
- Maximum loan amount
- Facility Loan Terms
- Cost of obtaining a loan
- Persons required to sign the note
- Where to file the application
- Other pertinent information on the FSFL Program



Small Business First

Provides low-interest loans for land and building acquisition and construction, machinery and equipment purchases, and working capital up to \$200,000 or 40% of the total eligible project cost, whichever is less.

ELIGIBLE APPLICANTS- Small businesses (100 employees or less), that are in:

- Production agriculture
- Agricultural processing
- Eligible Uses
- Land and building acquisition and construction
- Machinery and equipment purchases and upgrades
- Working capital

LOAN AMOUNTS- Loans up to \$200,000 or 50% of total eligible project costs, whichever is less

Maximum loan amount for working capital is \$100,000 or 50% of total eligible project costs, whichever is less

TERMS-

- Up to 15-year term for land and building
- Up to 10-year term for machinery and equipment
- Up to 3-year term for working capital
- Processors must create/save one job per \$25,000 of loan

INTEREST RATE- A project where land is enrolled in a farmland preservation program or an Agricultural Security Area (ASA) or a project involving urban or rural supermarkets may receive a 1% reduction in the interest rate normally assessed for MELF loans, but the interest rate to be applied may not be less than 2%. Call 1-888-PAgrows for the current interest rates and any questions.

Machinery and Equipment Loan Fund

Provides low-interest loan financing to acquire and install new or used machinery and equipment or to upgrade existing machinery and equipment up to \$500,000 or 50% of the total eligible project cost, whichever is less.

ELIGIBLE APPLICANTS-

- Production agriculture
- Agricultural processing
- Eligible Uses
- Activities that use best management practices for agricultural waste, waste products and byproducts or fertilizer
- Agribusiness
- Energy related activities affecting production agriculture or agribusiness
- Farmers markets
- Fruit trees
- Machinery and equipment acquisition and upgrading
- Engineering and installation costs directly related to the operations or processes
- The sale of farm commodities by urban and rural supermarkets located in underserved areas
- The wholesale of farm commodities

LOAN AMOUNTS- Loans up to \$500,000 or 50% of the total eligible project costs, whichever is less.

TERMS- Up to 10-year term, depending upon the useful life of the machinery being financed

Project must be directly related to farming or food manufacturing

Processors must create/save one job per \$25,000 of loan

INTEREST RATE- Call us at 1-888-PAgrows for current interest rates. A project in which land is enrolled in a farmland preservation program or an Agricultural Security Area (ASA) or a project involving urban or rural supermarkets may receive a 1% reduction in the interest rate normally assessed for MELF loans, but the interest rate to be applied may not be less than 2%.

Next Generation Farmer Loan Program

The Next Generation Farmer Loan Program encourages lenders to finance beginning farmers by allocating a portion of the Commonwealth's private activity bond volume cap to first-time farmer projects. The loan is made at the lender's credit standards and other terms and conditions. However, because the program exempts the lender from owing Federal, State, or county income taxes on the interest it would earn from the loan, the lender can offer a lower interest rate than it usually would offer.

ELIGIBLE APPLICANTS-

- Beginning farmers:
- Must be at least 18 years of age
- No prior ownership interest in substantial amount of land exceeding 30% of median farm size in county where land is located
- Resident of Pennsylvania
- Net worth cannot exceed \$544,554 as an individual or \$1,089,109 million as a partnership.

ELIGIBLE USES- Land and improvements and other depreciable assets such as machinery and equipment.

May be used between a borrower and lender for a loan to make a direct purchase of a farm and agricultural machinery and equipment, or between a buyer and seller for a contract purchase

Purchase by contract from a related person is permissible under the program, under certain conditions

LOAN AMOUNTS- The total loan proceeds allocated to the purchase price of used equipment may not exceed \$62,500. Otherwise, the maximum loan amount is \$520,000.

TERMS- Due to the nature of the loan, terms for the loan along with any additional conditions will be at the discretion of the individual lender.

INTEREST RATE- Interest rates are set by the individual lender and may be negotiated lower than current interest rates because of the nature of the loan. Interest rates are negotiated between borrowers and lenders, or buyers and sellers in the case of contract sales. Neither the Pennsylvania Department of Agriculture

nor the Department of Community & Economic Development dictates interest rates in this program.

PNC Working Capital Growth Fund

Up to \$100 million in low-interest rate financing for small businesses in Pennsylvania. Working capital at attractive interest rates—that's the one thing small to mid-sized businesses need to grow. With that idea in mind, PNC Business Banking, in cooperation with the Commonwealth of PA, has established a special pool of funds – PNC's Pennsylvania Working Capital Growth Funds -designated to help businesses continue to grow right here in Pennsylvania.

Small Business Administration 504

The CDC/504 loan program, part of the federal Small Business Administration, is a long-term financing tool for economic development within a community. The 504 Program provides growing businesses with long-term, fixed-rate financing for major fixed assets, such as land and buildings. A Certified Development Company is a nonprofit corporation set up to contribute to the economic development of its community. CDCs work with the SBA and private-sector lenders to provide financing to small businesses.

ELIGIBLE APPLICANTS - Any for-profit small business with a tangible net worth no more than \$15 million and an average net income of \$5 million or less after federal income taxes for the preceding two years to the application.

ELIGIBLE USES - Land acquisition, site improvements (including grading, street improvements, utilities, parking lots and landscaping), acquisition of existing building, building renovation, leasehold improvements, new construction, machinery and equipment with a useful life of at least 10 years and certain soft costs, such as engineering fees, architectural fees, appraisal fees, and environmental fees. Changes made to the Small Business Jobs and Credit Act now allow small business owners to use 504 Loans to refinance up to 90% of the appraised value of available collateral.



LOAN AMOUNTS - The maximum loan amount is \$5 million. Small manufacturers or certain types of energy projects may qualify for \$5.5 million.

TERMS - 10 years for machinery and equipment; 20 years for real estate.

INTEREST RATE - Interest rates on 504 loans are set to an increment above the current market rate for five-year and 10-year U.S. treasury issues. Maturities of 10 and 20 years are available. Fees total approximately 3% of the debenture and may be financed with the loan.

JOB CRITERIA - One job to be created, or in some cases retained, for every \$65,000 guaranteed by the SBA. If the project involves a small manufacturer, the requirement is one job for every \$100,000. As an alternative to job creation or retention, a business may qualify if it meets a community development or public policy goal as long as the CDC maintains its portfolio job average requirements.

USDA Farm Service Agency Guaranteed Loans

U.S. Department of Agriculture Farm Service Agency (FSA) guaranteed loans provide lenders (e.g., banks, Farm Credit System institutions, credit unions) with a guarantee of up to 95% of the loss of principal and interest on a loan. Farmers and ranchers apply to an agricultural lender that then arranges for the guarantee. The FSA guarantee permits lenders to make agricultural credit available to farmers who do not meet the lender's normal underwriting criteria. FSA guaranteed loans are for farm ownership and operating purposes. A percentage of guaranteed loan funds are targeted to beginning farmers and ranchers and minority applicants.

ELIGIBLE APPLICANTS- To qualify for an FSA Guarantee, a loan applicant must:

Be a citizen of the United States (or legal resident alien), which includes Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and certain former Pacific trust territories. Have an acceptable credit history as determined by the lender. Have the legal capacity to incur the obligations of the loan. Be unable to obtain a loan without a guarantee. Not have caused FSA a loss by receiving debt forgiveness on more than three occasions. Be the owner or tenant operator of a family farm after the loan is closed. For an operating loan, the producer must be the operator of a family farm after the loan is closed. For a farm ownership loan, the producer needs to also own the farm. Not be delinquent on any federal debt. Entities (corporations, co-operatives, joint operations, partnerships, trusts, and limited liability companies) and their members/stockholders must meet these same eligibility requirements. The entity must also be authorized to operate a farm or ranch in the state where the land is located.

Minority and Women Farmers and Ranchers

Targeted FSA funds are available for Socially Disadvantaged Applicants (SDA): women, African Americans, Alaskan Natives, American Indians, Hispanics, Asians, Native Hawaiians, and Pacific Islanders. All SDA loan processes and requirements are identical to all non SDA processes and requirements.

**Applicant must provide his or her ethnicity, race, and gender on the loan application to be considered for targeted SDA loan funding*

ELIGIBLE USES - Farm Ownership Loans

May be used to purchase farmland, construct or repair buildings and other fixtures, develop farmland to promote soil and water conservation, or refinance debt.

OPERATING LOANS- May be used to purchase livestock, farm equipment, feed, seed, fuel, farm chemicals, insurance, and other operating expenses. Operating loans may be used to pay for minor improvements to buildings, costs associated with land and water development, family living expenses, and to re-

finance debts under certain conditions.

Loan Amounts- FSA can guarantee operating loans or farm ownership loans up to \$1,302,000 (amount adjusted annually based on inflation).

TERMS- Repayment terms vary according to the type of loan made, the collateral securing the loan, and the producer's ability to repay. Operating loans are normally repaid within seven years and farm ownership loans cannot exceed 40 years.

INTEREST RATE- The guaranteed loan interest rate and payment terms are negotiated between the lender and the borrower. Interest rates on these loans may not exceed the rate charged the lender's average farm customer. In addition, under the Interest Assistance Program, FSA will subsidize 4% of the interest rate on loans to qualifying borrowers.

FEES- For most loans, FSA charges a guarantee fee of 1.5% of the guaranteed portion of the loan. This fee may be passed on to the borrower. The guarantee fee is waived for:

INTEREST ASSISTANCE LOANS- Loans where more than 50% of the loan funds are used to pay off direct FSA loan debt. Loans in conjunction with a down payment Farm Ownership Loan program for beginning farmers or a qualifying state beginning farmer program. This fee waiver does not extend to all beginning farmers.

SECONDARY MARKET- The secondary market for USDA guaranteed loans is a key feature of the guaranteed lending program. The lender may resell the guaranteed portion of the loan to an interested party. The interested party then becomes the holder of the loan, but the original lender must retain the loan servicing responsibilities. Investors who are looking for safe investments with a reasonable return are attracted to these loans because of the government's full faith and credit guarantee against default. The existence of the secondary market makes guaranteed loan notes more liquid. By reselling the guaranteed portions, lenders reduce interest rate exposure, increase their lending capabilities, and generate fees.

ADVANTAGES OF USING THE SECONDARY MARKET – The existence of

the secondary market is a strong inducement for lenders to become involved in guaranteed lending. Selling the guaranteed portion of the loan to other investors offers a number of advantages, including:

- Reduced interest rate risk. Lenders can transfer risk of increases in interest rate on the guaranteed portion of a fixed rate loan.
- Increased liquidity. Selling the loan on the secondary market frees the funds for additional lending or investing activity.
- Increased lending or investing capabilities. Since the guaranteed portion of the loan is generally not applied against a bank's lending limit, it can be used to expand lending capabilities.
- Increased return on investment. The sale of the guaranteed portion of the loan in the secondary market increases the lender's overall return on investment. Each time a bank sells a guaranteed portion, it generally retains a servicing fee.

RATES AND TERMS- Lenders may offer the producer more flexible repayment terms, as well as fixed and/or reduced interest rates to improve cash flow.

USDA Farm Service Agency Direct Loans

Direct farm loans are made by the United States Department of Agriculture Farm Service Agency (FSA) with government funds. The FSA services these loans and provides their direct loan customers with supervision and credit counseling so they have a better chance for success. Farm ownership, operating, emergency and youth loans are the main types of loans available under the direct program. Direct loan funds are also set aside each year for loans to minority applicants and beginning farmers.

ELIGIBLE APPLICANTS – A direct loan applicant must: Have sufficient education, training, or experience in managing and operating a farm or ranch that demonstrates the managerial ability needed to succeed in farming. Be a citizen of the United States (or legal resident alien), which includes Puerto Rico, the Virgin Islands, Guam, American Samoa, and certain former Pacific trust

Territories. Have the legal capacity to incur the obligations of the loan. Be unable to obtain credit elsewhere. Have an acceptable credit history. Be the operator or tenant operator of a family farm after the loan is closed. For a Farm Ownership Loan, the producer must also own the farm. For an Operating or Emergency Loan, the producer need only be the operator. Not have had a previous loan that resulted in a loss to the FSA (with certain exceptions). Not be delinquent on any Federal debt. Corporations, cooperatives, joint operations, and partnerships and their members/ stakeholders must meet these same eligibility requirements, and the entity must be authorized to operate a farm or ranch in the state where the land is located.

ELIGIBLE USES-

- **Farm Ownership Loans-** May be used to purchase farmland, construct or repair buildings and other fixtures and to develop farmland to promote soil and water conservation.
- **Operating Loans-** May be used to purchase livestock, farm equipment, feed, seed, fuel, farm chemicals, insurance, and other operating expenses. Operating Loans can also be used to pay for minor improvements to buildings, costs associated with land and water development and family living expenses.
- **Loan Amounts-** The maximum amount for farm ownership or farm operating loans is \$300,000; rural youth \$5,000; and emergency loans \$500,000.
- **Loans for Beginning Farmers and Ranchers-** FSA makes and guarantees loans to beginning farmers who are unable to obtain financing from commercial lenders. Each fiscal year, FSA targets a portion of its direct and guaranteed farm ownership and operating loan funds to beginning farmers.

A beginning farmer is an individual or entity who:

- Has not operated a farm for more than 10 years
- Meets the loan eligibility requirements of the program to which he/she is applying

- Substantially participates in the operation
- For farm ownership purposes, does not own a farm greater than 30% of the median size farm in the county

NOTE: All applicants for direct farm ownership loans must have participated in the business operation of a farm for at least three years. If an applicant is an entity, all members must be related by blood or marriage, and all members in a corporation must be eligible beginning farmers.

TERMS- Repayment terms vary according to the type of loan made, the collateral securing the loan, and the producer's ability to repay. Operating loans are normally repaid within seven years and farm ownership loans cannot exceed 40 years.

INTEREST RATE- The interest rates for Direct Loans are adjusted periodically based on the Federal Government's cost of borrowing.

FEES- To process a direct loan application, FSA requires the loan applicant to pay a credit report fee. In addition, if a loan is made, the producer must pay the fees charged for lien searches and for filing and recording security instruments.

Beginning Farmer/Rancher Down Payment Program

FSA has a special loan program to assist socially disadvantaged and beginning farmers in purchasing a farm. Retiring farmers may use this program to transfer their land to future generations.

TO QUALIFY- The applicant must make a cash down payment of at least 5% of the purchase price of the farm.

The maximum loan amount does not exceed 45% of the least of (a) the purchase price of the farm or ranch to be acquired; (b) the appraised value of the farm or ranch to be acquired; or (c) \$500,000 (Note: This results in a maximum loan amount of \$225,000). The term of the loan is 20 years. The interest rate is 4% below the rate of the farm ownership program rate, but not lower than 1.5%. The remaining balance may be obtained from a commercial lender or private party. FSA can provide up to a 95% guarantee if financing is obtained from a commercial

lender. Participating lenders do not have to pay a guarantee fee. Financing from participating lenders must have an amortization period of at least 30 years and cannot have a balloon payment due within the first 20 years of the loan.



Microloan

The Microloan program was developed to serve the unique financial needs of beginning, niche and family farms by modifying its Operating Loan application, eligibility and security requirements. The program offers more access to credit and will serve as an alternative for smaller farm operations. The application process has been simplified and requirements for managerial experience and loan security have been modified to accommodate smaller farms, beginning farmers and those with no farm management experience.

USES OF MICROLOAN:

- Direct Farm Ownership Microloans
- Make a down payment on a farm
- Build, Repair, or Improve farm buildings, service buildings, farm dwelling
- Soil and Water Conservation Projects
- May be used as a down payment Farm Ownership Loan
- May be used in joint financing

DIRECT FARM OPERATING MICROLOANS-

- Essential tools
- Fencing and trellising
- Hoop houses
- Bees and bee equipment
- Milking and pasteurization equipment
- Maple sugar shack and processing equipment
- Livestock, seed, fertilizer, utilities, land rents, family living expenses, and

other materials essential to the operation

- Irrigation
- GAP (Good Agricultural Practices), GHP (Good Handling Practices), and Organic certification costs
- Marketing and distribution costs, including those associated with selling through Farmers' Markets and
- Community Supported Agriculture operations
- Pay for qualifying OSHA compliance standards (Federal or State)
- Security Requirements

MICROLOANS FOR ANNUAL OPERATING PURPOSES- Must be secured by a first lien on a farm property or agricultural products with a security value of at least 100% of the loan amount, and up to 150% when available.

MICROLOANS FOR PURPOSES OTHER THAN ANNUAL OPERATING

EXPENSES- Must be secured by a first lien position on a farm property or agricultural products purchased with loan funds and having a security value of at least 100% of microloan amount

RATES & TERMS-

- Up to \$50,000 for eligible applicants
- Repayment term may vary and will not exceed seven years
- Annual operating loans are repaid within 12 months or when the agricultural commodities produced are sold.
- Interest rates are based on the regular Operating Loan rates at the time of the microloan approval or microloan closing, whichever is less.

For an application please contact your local FSA office.

REDEVELOPMENT ASSISTANCE CAPITAL PROGRAM (RACP)

Redevlopment Assistance Capital Program projects are state-funded projects that cannot obtain primary funding under other state programs. Projects that can normally obtain funding from PENNDOT, PENNVEST, the Department of Community and Economic Development, or other state agencies are generally restricted from participating in the Redevelopment Assistance Capital Program.

A project is eligible for RACP funding only if that project has been itemized in a PA Capital Budget Project Itemization Act, such itemizations have remaining "Project Allocation" amounts, and have not been statutorily "sunset" according to Act 77 of 2013. Candidates who have an authorized and eligible project will need to submit an e-RACP Application to the Office of the Budget. Please refer to our website for more detailed information on eligibility, funding schedules, and submission requirements.

All submissions that pass an initial review of eligibility and completeness will be considered for a RACP grant award.

There is a 30-day "public comment period" from receipt of an e-RACP Application until an award can be made. Once the initial review of all the projects has been completed and the public comment period has closed, the Office of the Budget will forward a complete listing of projects and summaries to the Administration for grant award consideration.

The final selection results will be posted to the Listing of Candidates & Selection Results section of our website and all successful Candidates will be notified via an Award Letter. The Award Letter shall serve as written notification authorizing the preparation and submission to the Office of the Budget of a formal Application and Business Plan. If you did not receive an award letter by the date the Office of the Budget officially closes a funding round, as posted on our website, then you were

not selected for an award. You will need to re-apply during a subsequent open funding round.

Grant applicants must then notify the Office of the Budget within 30 days of receipt of their award letter as to whether or not they are accepting the grant award. If the award has been accepted, the applicant will have six (6) months to submit a formal Application and Business Plan to the Office of the Budget for the drafting of an RACP grant.

Once a Grant is drafted, it will be sent to the Grantee for signature and returned to the Office of the Budget for circulation through the Commonwealth for execution. Upon execution of the Grant Agreement, the Grantee should work to satisfy all terms and conditions of the grant. The Grantee may start to submit Payment Requests once eligible construction costs have been incurred and paid.

Upon submission of a final payment request, the Grantee will be contacted for a close-out audit of the project.

***If a RACP project does include and require improvements to housing, roads, bridges, tunnels, infrastructure, and/or drinking water/waste disposal/waste-water/stormwater systems, these improvements must not be the primary focus of the project; and as per Act 77 of 2013, they must be associated with a project that is part of an economic development project; and in the case of housing, must be part of a community revitalization plan and in accordance with RACP requirements. These additional requirements for housing projects are not required if the funding is from a designated special allocation for housing.

Redevelopment Assistance Capital Program

Bureau of Revenue, Capital and Debt
Office of the Budget
Commonwealth of Pennsylvania
333 Market Street, 18th Floor
Harrisburg, PA 17101-2210
Telephone: (717) 425-7007

Please address any general questions to:
RACP Administrator at RA-OB-RACP-
PPR@pa.gov

Department of Community & Economic Development (DCED)

dced.pa.gov

The Commonwealth Financing Authority (CFA) was established in 2004 as an independent agency of the Department of Community and Economic Development (DCED) to administer Pennsylvania's economic stimulus packages. The CFA holds fiduciary responsibility over the funding of programs and investments in Pennsylvania's economic growth. Unique among state agencies in structure and scope, the CFA consists of seven Board members: four legislative appointees and the secretaries of DCED, the Office of the Budget and Department of Banking. Project approval requires five affirmative votes, four of which must come from legislative appointees.

GRANTS

Abandoned Mine Drainage Abatement & Treatment

Program (AMDATP) – Act 13 of 2012 established the Marcellus Legacy Fund and allocated funds to CFA for abandoned mine drainage, abatement and treatment with the (AMDATP). As one of the largest sources of stream impairment in Pennsylvania, billions of gallons of Abandoned Mine Drainage (AMD) impair over 5,500 miles of streams within the commonwealth. Funding is used for projects involving the reclamation of Abandoned Mine Well(s), construction of a new AMD site, remediation and repair of existing AMD project sites, operation and maintenance of current AMD remediation sites, establishment of a trust fund to ensure ongoing maintenance is achieved, and monitoring of water quality to track or continue to trace nonpoint source load reductions resulting from AMD remediation projects.



Alternative and Clean Energy

Program (ACE) – The Alternative and Clean Energy Program provides financial assistance in the form of grants and loans that will be used by eligible applicants for the utilization, development and construction of alternative and clean energy projects; infrastructure

associated with compressed natural gas and liquefied natural gas fueling stations; and energy efficiency and energy conservation projects throughout the commonwealth. The program is administered jointly by the Department of Community and Economic Development (DCED) and the Department of Environmental Protection (DEP), under the direction of the Commonwealth Financing Authority (CFA). Funds are used to promote the utilization, development and construction of alternative and clean energy projects, plus energy efficiency and energy conservation projects in the commonwealth.

Appalachian Regional

Commission (ARC) – The commission was established by Congress in 1965 to support economic and community development throughout the Appalachian Region. ARC is a unique partnership composed of the governors of the 13 Appalachian states and a presidential appointee representing the federal government. The Office of Appalachian Development (OAD) is responsible for specialized services designed to improve conditions for citizens in our 52 Appalachian counties. Grassroots participation is provided through Local Development Districts (LDDs) – multi-county organizations with boards made up of elected officials, business people, and other local leaders. The LDD program provides grants to support services provided by the seven Pennsylvania LDDs. The program provides technical assistance for businesses seeking to expand their markets; identifies resources for community development projects and activities; and provides regional solutions for local government needs. Appropriated funds are allocated by formula to the seven

LDDs. Eligible applicants are LDDs designated in the Pennsylvania Local Development District Act.

Baseline Water Quality Data –

Act 13 of 2012 established the Marcellus Legacy Fund and allocated funds to CFA to be used for statewide initiatives to establish baseline water quality data on private water supplies using the Baseline Water Quality Data Program (BWQDP). Funding is used for projects involving water sample collection and analysis to document existing groundwater quality conditions on private water supplies.

Ben Franklin Technology Partners Challenge Grant

Program – The Ben Franklin Technology Partnership is comprised of a network of four independent organizations known as the Ben Franklin Technology Partners. The network serves the commonwealth by playing a major role in promoting and supporting business innovation within the state. The Ben Franklin Technology Partners provide access to capital, business expertise, technology commercialization services and a network of resources in order to advance the development of new technologies. The Challenge Grant funds private company and university joint research and development along with technology training and entrepreneurial infrastructure.

Building PA – The program provides mezzanine capital for developers of real estate assets in small to mid-sized Pennsylvania communities. Funds are awarded to Professional Investment Fund Managers through a Request for Qualification (RFQ) (advertised in Pennsylvania Bulletin), through the

Commonwealth Financing Authority. The RFQ criteria includes: demonstrated capacity for serving the Pennsylvania marketplace, including specific geographic areas and/or market segments; expertise in the proposed real estate sector; expertise in range of investments demonstrated by experience and success with previous investments; demonstrated capacity to attract additional investment for projects.

Business in Our Sites (BOS)

Loans – The Business in Our Sites Program provides loans for the acquisition and development of key sites for future use by businesses, private developers, and others. The program is administered through the Commonwealth Financing Authority (CFA). The program is intended to provide financial assistance to municipalities and others to prepare sites for future use. Projects which require site development assistance where a business has already committed to locating at a specific site or where a private developer has already committed to locating a facility for a specific user may also seek financial assistance under other appropriate programs administered by DCED.

Community Development Block Grant (CDBG)

– The Community Development Block Grant provides grants to enable communities to effectively address local community development needs in smaller cities/communities and rural areas. (Urban counties and major cities are funded directly by HUD, not through DCED.) Funds are used for community development needs such as infrastructure, housing, and community facilities (senior centers, fire halls, recreational improvements). All activities must meet one of three national objectives: benefit low- and moderate-income people (below 80 percent of area median income), eliminate slum and blight, or address an urgent need.

Community Development Block Grant – Disaster Recovery (CDBG-DR)

– The CDBG-DR program provides grants to units of local government for federally designated disaster areas impacted by Tropical Storm Lee and Hurricane Irene. There are 36 identified counties

impacted by the storms. This program is designed to fit the needs identified from the disaster.

Community Services Block Grant (CSBG)

– The mission of (CSBG) is to provide a full range of services and activities having a measurable impact on the causes of poverty in a community or those areas of a community where poverty is a particularly acute problem. Funding is used for community engagement and activism to remove obstacles that block the achievement of self-sufficiency; employment and training resources; literacy activities; obtaining adequate housing; grassroots activities that provide intervention to the causes of poverty; addressing the needs of youth through programming or coordination; and making more use of or coordinating with welfare reform efforts.

Early Intervention Program (EIP)

– In order to assist municipalities experiencing fiscal difficulties, the Early Intervention program provides grants to municipal and county governments to develop and implement multi-year financial management plans. The plans supply governments with both short-term and long-term direction to address fiscal difficulties before they reach a crisis point (Act 47).

Educational Improvement Tax Credit Program (EITC)

– Educational Improvement Tax Credit program provides tax credits to operating business firms authorized to do business in the Commonwealth of Pennsylvania that are subject to one the following taxes: Personal Income Tax, Capital Stock/Foreign Franchise Tax, Corporate Net Income Tax, Bank Shares Tax, Title Insurance & Trust Company Shares Tax, Insurance Premiums Tax (excluding surplus lines, unauthorized, domestic/foreign marine), Mutual Thrift Tax, Malt Beverage Tax or Retaliatory Fees under Section 212 of the Insurance Company Law of 1912. Such business firms include pass-through entities and special purpose pass-through entities. To receive tax credits, businesses must be approved to make contributions to Scholarship Organizations (SO) and/or Educational Improvement Organizations (EIO) and/or Pre-K Scholarship

Organizations (PKSO) that are on the list of such organizations published by the Department. A business firm will be approved for a tax credit equal to 75% of its contribution(s) to listed organization(s), up to a maximum of \$750,000 per taxable year. The tax credit may be increased to 90% of the contribution(s) made, up to a maximum of \$750,000 per taxable year, if the business firm agrees at the time of application to provide the same amount of contribution for two consecutive tax years. The tax credits may be applied against the tax liability of a business for the tax year in which the contribution was made.

Emergency Solutions Grant (ESG)

– The ESG Program provides funds to assist homeless persons and prevent homelessness, including a full range of needs: the chronically homeless, victims of domestic abuse, veterans, youth, persons with disabilities, and displaced families. Funding is used for street outreach services, emergency shelters, rapid re-housing (placement in housing units), homelessness prevention (emergency payments to prevent eviction), and the Homeless Management Information System (HMIS data system reporting). This program supports any homeless individual living in either an emergency shelter or location not suitable for habitation.



Family Savings Account (Assets for Independence) (FSA)

– The program's mission is to stimulate economic self-sufficiency and empowerment of individuals by helping qualified low-income participants to focus on long and short-term financial skills and goals while encouraging savings and asset development. Participant savings are matched with both state and federal funds. At the state level, federal grants must be matched by state funds. Fund-

ing is used to provide savings incentives targeting Pennsylvania families at or below 200 percent of the federal poverty level or 80 percent of the area median income. The program allows a total of \$2,000 per family to be saved and matched. Approved savings plans in the past included the purchase of vehicles, home purchases, home repairs and post-secondary education. Savings goals will be limited to home purchases, business entrepreneurship and post-secondary education as per a federal ruling.

Film Tax Credit Program – The Pennsylvania Film Tax Credit Program is an economic development tool to foster the growth and development of the commonwealth's film industry. The program, authorized under Act 55 of 2007, provides a tax credit equal to 25 percent of a film production's "qualified Pennsylvania production expenses" for films in which at least 60 percent of the total production budget is spent in Pennsylvania. Qualified projects include feature films, television pilots, each episode of a television series intended as programming for a national audience, and television commercials. The eligible expenses include the total amount of wages and salaries of individuals employed in the production of the film, the costs of construction, editing, photography, sound synchronization, lighting, wardrobe and accessories, and the rental cost of the facilities and equipment.

First Industries Fund (FIF) – The First Industries Fund is a loan, loan guarantee, and grant program aimed at strengthening Pennsylvania's agriculture and tourism industries. The program is administered by both the Commonwealth Financing Authority and the Department of Community and Economic Development. Funds for loans and loan guarantees are awarded for land and building acquisition and construction, and machinery and equipment purchase and upgrades; working capital grants are for planning and predevelopment activities.

Flood Mitigation (FMP) – Act 13 of 2012 establishes the Marcellus Legacy Fund and allocates funds to the Commonwealth Financing Authority (CFA) for funding statewide initiatives to assist with flood mitigation projects. Projects authorized by a flood protection author-

ity, the Department of Environmental Protection (DEP), the U.S. Army Corps of Engineers (Corps) or the U.S. Department of Agriculture's Natural Resources Conservation Service (NRCS) or identified by a local government for flood mitigation are eligible for the program.

Global Access Program (GAP) – Designed to enhance the capability of small and mid-sized Pennsylvania companies to increase export sales, the Pennsylvania Global Access Program (GAP) is a valuable tool available to qualifying companies seeking financial assistance and foreign market entry support. GAP is designed to provide flexibility and encourage innovative use of funds to meet the specific international marketing needs of the applicant. Valid uses: overseas trade shows participation, overseas trade mission participation, foreign market sales trips, and subscription to US DOC services. The Global Access Program (GAP) provides up to \$3,500 per year to qualifying companies.



Greenways, Trails & Recreation Program (GTRP) – Act 13 of 2012 established the Marcellus Legacy Fund and allocates funds to the CFA for planning, acquisition, development, rehabilitation and repair of greenways, recreational trails, open space, parks and beautification projects using the (GTRP). Funding is used for projects which involve development, rehabilitation and improvements to public parks, recreation areas, greenways, trails and river conservation.

Growing Greener II – The Growing Greener II program provides Main Street and Downtown Redevelopment Grants to municipalities and nonprofits to help a community's downtown redevelopment effort, focusing on the improvement of downtown sites and buildings. Funding

is used for capital improvement costs and those costs directly related to physical building improvements such as acquisition and pre-development costs.

High Performance Building Program (HPB) – The High Performance Building Program provides financial assistance in the forms of grants and loan funds to underwrite the cost premiums associated with the design and construction or major renovation of high performance buildings in the commonwealth. The program is administered jointly by the Department of Community and Economic Development and the Department of Environmental Protection under the direction of the Commonwealth Financing Authority (CFA).

- An HPB is a building that adheres to the standards adopted by the Department of General Services, in consultation with the Department of Environmental Protection that optimizes the energy performance of buildings.

Historic Preservation Tax Credit – The Historic Preservation Tax Credit provides tax credits to qualified taxpayers who will complete the rehabilitation of a qualified historic structure into an-income producing property. All projects must include a qualified rehabilitation plan that is approved by the Pennsylvania Historical and Museum Commission (PHMC) as being consistent with the Secretary of the Interior's Standards for Rehabilitation. Tax credits may be applied against the tax liability of a qualified taxpayer which includes an individual, corporation, business trust, limited liability company, limited liability partnership or any other form of legal business entity. The tax credits awarded to a qualified taxpayer shall not exceed 25 percent of the qualified rehabilitation expenditures as determined by the application in connection with the completed project. The total tax credits awarded to a qualified taxpayer may not exceed \$500,000 in any fiscal year.

Home Investment Partnerships Program (HOME) – HOME provides grants to expand the supply of safe, code-compliant, and affordable housing for low-income Pennsylvanians

in smaller cities, communities, and rural areas. (Urban counties and major cities are funded directly by HUD, not through DCED.) Grants support virtually any activity to expand or improve affordable housing, including homeownership activities, home repair/rehab, and development of rental housing, tenant-based rental assistance, and technical assistance for nonprofit housing organizations.

Industrial Sites Reuse Program (ISRP)

– The Industrial Sites Reuse Program promotes the reuse and redevelopment of former industrial land and sites by providing funding for public entities, private nonprofit economic development groups, and companies to perform environmental site assessment and remediation work. Entities cannot have caused or contributed to the environmental contamination, in order to receive funding. Funds are used for Phase I, II, and III environmental assessments and remediation of hazardous substances.

Infrastructure & Facilities

Improvement Program (IFIP)

– The Infrastructure and Facilities Improvement Program (IFIP), established by Act 23 of 2004, provides multi-year grants for debt service incurred to pay costs of certain infrastructure and facilities improvements. Funds are used for reimbursement of debt service relating to the debt issued for eligible infrastructure and facilities improvement project costs. Eligible projects include: convention centers, hospitals, hotels, industrial enterprises, manufacturers, retail enterprises creating at least 200 jobs and occupying over 200,000 sq. ft., and research and development enterprises.

Innovate In PA – Established by Act 52 in 2013, enables the Department of Community & Economic Development to sell up to \$100 million of insurance premium tax credits to qualified insurance companies and deploy the revenues generated by the sale to the Department's strategic partners including the four regional Ben Franklin Technology Partners, the state's three Life Sciences Greenhouses and the Ben Franklin Technology Development

Authority's (BFTDA) Venture Investment Program. By using the proceeds of the sale of the insurance premium tax credits, the Department's Office of Technology & Innovation will deploy much needed capital to Pennsylvania's technology-enabled businesses using the aforementioned strategic partners and the Venture Investment Program. The Venture Investment Program provides a loan to qualified venture capital firms who make investments in Pennsylvania companies.

- **INNOVATE IN PA** – Life Science Greenhouse - Innovate in PA is a new initiative whereby the three regional Life Sciences Greenhouses (LSGs) will receive new capital as a result of the sale of \$100mm in insurance premium tax credits. Revenue generated through the sale of the tax credits will be deployed to the three LSGs through the Ben Franklin Technology Development Authority (BFTDA). The Innovate in PA enabling legislation allows for up to 5% of the net proceeds received to be deployed to the three LSGs which will share the proceeds equally. Funding is administered through the Life Science Greenhouses to businesses that support the accomplishment of the mission of the LSG program. This support may be in the form of grants, loans, equity investments, royalty/pay-back agreements, or other forms of financial support. Companies in the life science and biotechnology industries are encouraged to reach out to their designated LSG to learn more about funding opportunities.

- **INNOVATE IN PA** – Ben Franklin Technology Partners - Innovate in PA is a new initiative whereby the four regional Ben Franklin Technology Partners (BFTPs) will receive new capital from the sale of \$100MM in insurance premium tax credits. Revenue generated through the sale of the tax credit will be deployed to the four regional BFTPs through the Ben Franklin Technology Development Authority (BFTDA). The Innovate in PA enabling legislation allows for up to 50% of the net proceeds received to be deployed to the four regional BFTPs. Each BFTP will share the proceeds equally. The partners will provide access.

- **INNOVATE IN PA** – Venture Investment Program – The Innovate in PA Venture Investment Program (VIP) is a Commonwealth of Pennsylvania initiative intended to address the financing needs of technology-oriented businesses by increasing the amount of risk capital available. The VIP operates pursuant to the statutory authority of the Ben Franklin Technology Development Authority (BFTDA). The goal of the VIP is to assist in establishing a technology-based economy within Pennsylvania, allowing Pennsylvania to compete in the global market. For the purpose of this program, the BFTDA seeks to lend funds to venture capital funds. VIP funding will be provided in the form of a loan. The Commonwealth of Pennsylvania and/or the BFTDA is prohibited from holding an equity position and; therefore, is not a traditional limited partner but a Lender.



Job Creation Tax Credits (JCTC)

– The Job Creation Tax Credit Program was established for the purpose of securing job-creating economic development opportunities through the expansion of existing businesses and the attraction of economic development prospects to the Commonwealth of Pennsylvania. The JCTC program is available to eligible businesses that, within three years from a negotiated start date, create 25 or more jobs or increase employment by 20 percent. Every new full-time job, up to a set maximum which meets certain minimum wage standards, will result in a \$1,000 tax credit that the business can use to pay a number of state business taxes. The business may claim these credits only after the jobs are created. A minimum of 25 percent of all tax credits

available to be awarded each fiscal year will be allocated to businesses that employ 100 or fewer employees.

Keystone Communities – This program supports physical improvements to both designated and other communities that are undertaking revitalization to restore deteriorated downtowns, residential neighborhoods, and industrial/manufacturing sites. Keystone Communities also provides funding for accessible modifications for the homes of persons with physical disabilities. Within Keystone Communities there are four subdivisions:

- **Development Grants:** This program provides grants for community improvements, such as housing, infrastructure, downtown revitalization, and business improvement in distressed areas.
- **Elm Street Designation:** This program designates communities as “Elm Streets” if they have a sustainable local organization that seeks to improve residential areas that are adjacent to or gateways into central business districts or commercial corridors in urban areas.
- **Enterprise Zone Designation:** This program designates communities as “Enterprise Zones” if they have a sustainable local organization that seeks to improve job creation opportunities in manufacturing and industrial areas, as well as other properties zoned for business use.
- **Keystone Community Designation:** This program designates communities as “Keystone Communities” if they have a sustainable local organization that seeks to improve the central business districts (commercial corridors), residential areas adjacent to downtown, manufacturing/industrial areas, or any combination of these.
- **Main Street Designation:** This program designates communities as “Main Streets” if they have a sustainable local organization that seeks to improve the central business districts or commercial corridors in urban areas.

Keystone Innovation Zone Tax Credit Program (KIZ) – This program provides tax credits to early-stage technology-oriented businesses and entrepreneurs operating in a Keystone

Innovation Zone (KIZ); companies without a tax liability may sell tax credits to companies with tax liabilities for cash. Funding provides tax credits for companies that have been in operation less than 8 years, whose gross revenues have increased over the previous year, are located in a KIZ Zone, and fall under the industry sector focus.

Keystone Opportunity Zones (KOZ) – The program provides state and local tax abatement to businesses and residents locating in one of the 12 designated zones. Businesses, property owners and residents located in a KOZ are eligible to receive significant state and local tax benefits. Projects in KOZ are given priority consideration for assistance under various community and economic building initiatives. Pennsylvania businesses relocating to a KOZ must either: increase their full-time employment by 20 percent within the first full year of operation, or make a 10 percent capital investment in the KOZ property based on their prior year’s gross revenues. Eligibility for benefits is based upon annual certification. In order to receive benefits, any entity applying must be compliant with all local and state taxes and building and zoning codes.

Keystone Special Development Zone (KSDZ) – The Keystone Special Development Zone (KSDZ) program was established for the purpose of providing incentives to for-profit businesses that locate and operate in designated geographic zones. Pennsylvania continues to have a surplus of abandoned, deteriorated commercial and industrial sites in need of revitalization. The KSDZ program is an incentive-based tax credit program to foster redevelopment of these former industrial and commercial sites. To be eligible, sites must be located within a Special Industrial Area as of July 1, 2011, for which the Department of Environmental Protection (DEP) has executed a Special Industrial Area Consent Order and Agreement. Businesses that provide job-creating economic development opportunities in these sites through the expansion of existing operations or the relocation of operations to the Commonwealth of Pennsylvania may be eligible for tax credits under this program.



Life Science Greenhouses – Three regional greenhouses are the only state organizations focused exclusively on growing the life sciences sector in Pennsylvania by creating new companies, investing in emerging companies, accelerating transfer of new discoveries from labs to companies, and building collaborations between academic, entrepreneurial, corporate, financial, and government partners. Greenhouses also develop and attract CEO talent to create and grow Pennsylvania-based companies. Equity investments, or loans, are made to seed and early stage companies within competitive bioscience sectors: new therapeutics, biomedical devices, drug delivery systems, platform technologies, bionanotechnology applications, and bioformatics.

Local Government Capital Project Loan Program (LGCPL) – The Local Government Capital Project Loan Program is administered by the Governor’s Center for Local Government Services of DCED. It was established to provide low-interest loans to municipalities with populations of 12,000 or less for the purchase of equipment and the purchase, construction, renovation or rehabilitation of municipal facilities. The program offers small local governments who find the cost of borrowing at conventional rates to be prohibitive an opportunity to secure needed funds at an interest rate of 2.0 percent per annum. Requests for assistance may be submitted anytime throughout the year.

Local Share Assessment Fund (LSA) – (Gaming Funds) – The Pennsylvania Race Horse Development and Gaming Act (Act 2004-71) as amended has established the Pennsylvania Gaming Local Share Account under DCED or the Commonwealth Financing



Authority (CFA) for the purpose of distributing a percentage of gross terminal slots and table games revenues of certain licensed gaming facilities in an orderly and timely fashion to support and enhance community and economic well-being and mitigate the impact of gaming and related activities.

FAYETTE COUNTY (DCED): Fayette County and economic development agencies and redevelopment authorities within the county are eligible applicants.

LUZERNE COUNTY (CFA): All Luzerne County municipalities are eligible for funding under this program. Luzerne County Redevelopment Authority is eligible for infrastructure and economic development projects.

MONROE COUNTY (CFA): Eligible applicants include Monroe County; five counties contiguous to Monroe including: Carbon, Lackawanna, Northampton, Pike and Wayne; municipalities, economic development agencies, and redevelopment authorities in those counties.

MONTGOMERY COUNTY (CFA): Montgomery County and municipalities and municipal authorities in the county are eligible applicants. Redevelopment authorities and industrial development agencies in the county are eligible for certain types of projects within the county.

WASHINGTON COUNTY (DCED): All Washington County municipalities, economic development authorities within Washington County, and redevelopment authorities within Washington County are eligible applicants.

Manufactured & Industrialized Housing – These programs facilitate the manufacture and installation of factory constructed housing and commercial buildings throughout the commonwealth. DCED establishes effective regulations and building

standards and provides technical support to both the industry and local municipalities that receive these factory constructed homes and/or commercial buildings. Buildings that are produced under these programs are deemed to comply with the local building code requirements.

Military Community Enhancement Commission –

The Pennsylvania Military Community Enhancement Commission Local Defense Group Grant (PMCEC LDG Grant) program is a Department of Community and Economic Development grant program designed to provide funds for projects that enhance the military value of military installations and organizations in Pennsylvania.

Local defense group is a non-profit organizations or governmental entities who have a demonstrated interest and investment in the success and enhancement of a military installation or organization in the geographic proximity of the communities from which the local defense group draws its membership and who have been identified by the PMCEC.

DCED will accept proposals from local defense groups. These proposals should design a course of action to be taken using state dollars that will demonstrably support or enhance the military value of the military installation or organization.

Proposals will be reviewed by DCED with input from the Pennsylvania Military Community Enhancement Commission to determine the eligibility of the organization and to assess the proposal. Based on that review, local defense groups will be invited to apply for a grant in a specified amount.

Municipal Assistance Program (MAP) – The Municipal Assistance Program (MAP) is created to help local governments efficiently and effectively plan and implement a variety of services, improvements, and soundly managed development. The program provides funding for three groups of activities –

- Shared service
- Community planning

- Floodplain management

The program is administered by the Governor’s Center for Local Government Services (GCLGS) in the Pennsylvania Department of Community and Economic Development (DCED). The MAP guidelines are divided into two parts –

- Part 1 for shared service and community planning activities
- Part 2 for floodplain management.

Municipalities Financial Recovery Program (MFRA) –

Act 47 – Act 47 empowers the department to declare certain municipalities as distressed and provides grants and loans through the Financially Distressed Municipalities Revolving Loan Fund to aid in the recovery process. The fund assists municipalities in recovery from conditions which caused them to become financially insolvent. The resources provided by the MFRP are vital to municipalities that experience severe financial distress, to ensure their ability to provide for the health, safety and welfare of their residents. After a municipality is designated as distressed, a recovery plan coordinator, funded by the program, is designated. The coordinator develops a comprehensive fiscal recovery plan for the municipality, assists the municipality to implement the plan and monitors the recovery process. The recovery plan addresses all operational aspects of the municipality and includes strategies to revitalize the local economic base and corresponding tax base through development of effective public-public and public-private partnerships and targeted state funds and action. Loans made under the program are repaid into the Revolving Fund and are then used to make additional loans and grants for other distressed municipalities. Grants are used to implement key recovery plan objectives.

Multimodal Transportation Fund (MTF) – The Multimodal Transportation Fund Program provides financial assistance in the form of grants for improvement to transportation assets in order to enhance communities, pedestrian safety and transit revitalization. The program is jointly administered by DCED and PennDOT



under the direction of the Commonwealth Financing Authority (CFA).

Neighborhood Assistance

Program (NAP) – The NAP was the first of its kind in the United States with the goal of improving neighborhoods throughout Pennsylvania by using tax credits to create a partnership between community organizations and the business community. Through NAP, millions of dollars from the private sector have been invested in programs and projects that have positively impacted communities throughout the state. The NAP provides businesses with five options for participation:

NAP: The program is designed to help improve distressed neighborhoods through the creation of a partnership between a nonprofit and a business/corporation, for which the business can receive tax credits for eligible contributions.

NAP – PARTNERSHIP PROGRAM (NAP/NPP): Long-term collaborations (five years or more) of business, government and community leaders to produce a comprehensive, asset-based and relationship-driven approach to community development.

NAP – ENTERPRISE ZONE PROGRAM (NAP/EZP): Tax credit applicable to private companies which make qualified investments to promote community economic development in impoverished areas that have been designated as state Enterprise Zones.

NAP – SPECIAL PROGRAM PRIORITIES (NAP/SPP): Under the Neighborhood Assistance Program a project must serve distressed areas or support neighborhood conservation. Projects must fall under one of the following categories: affordable housing programs, community services, crime prevention,

education, job training or neighborhood assistance.

NAP – CHARITABLE FOOD PROGRAM (NAP/CFP): The Charitable Food Program is designed to help regional food banks or emergency food providers. Funding to CFP is supplied through tax credits given to businesses making contributions to an approved provider. A tax credit of up to 55 percent can be given.

New American Development

Fund – This program provides low-interest private loans through foreign investment to finance economic development projects within the state's federally designated EB5 regional center that are projected to create a significant number of new, full-time, direct or indirect jobs. Funds are used to support the costs associated with new construction, the acquisition of plant, property, and equipment, building rehabilitation, and tenant improvements. Loan funds can also be used as working capital.

Next Generation Farmer Loan

Program – The Next Generation Farmer Loan Program assists beginning and first-time farmers in the purchase of land, farm equipment, farm buildings and breeding livestock. The Next Generation Farmer Loan Program uses federal tax-exempt mortgage financing to reduce a farmer's interest rate for capital purchases, such as the purchase of farm land or agricultural machinery and equipment.

Opportunity Scholarship Tax

Credit Program (OSTC) – The program provides tax credits to eligible businesses contributing to an Opportunity Scholarship Organization. Business contributions are then used by Opportunity Scholarship Organizations to provide tuition assistance in the form of scholarships to eligible students residing within a low-achieving school district to attend a nonpublic school or a public school outside of their district. Tax credits may be applied against the tax liability of a business for the tax year in which the contribution was made.

Orphan or Abandoned Well

Plugging Program – The Marcellus Legacy Fund allocates funds to CFA for plugging orphan or abandoned wells

that have the potential to cause health, safety, or environmental concerns. Funds are used for projects which involve the cleaning out and plugging of abandoned and orphan oil and gas wells; stray gas mitigation systems; and well venting projects.

Pennsylvania Community Development Bank Loan Program (PCD Bank) –

Pennsylvania Community Development Bank Loan Program provides debt financing for Community Development Financial Institutions (CDFIs) that support job creation. The PCD Bank leverages public sector funds with private sector investment to provide access to capital that is reinvested in distressed regions throughout the state. CDFIs play an important role in providing critical financial resources to low- and moderate-income communities and in molding the financial acumen of small businesses and community development groups. Loans range from \$250,000 to \$5 million.

PEER – The Governor's Center for Local Government Services maintains a network of qualified "peers" who have experience in local government administration (i.e. municipal finances and public safety) and offer on-site technical assistance to municipalities. Funds are used to provide on-site technical assistance to municipalities and local offices.

Partnerships for Regional Economic Performance

(PREP) – The Partnerships for Regional Economic Performance (PREP) is designed to encourage regional coordination in economic development efforts, yielding superior customer service to the business community and a comprehensive, efficient statewide economic delivery strategy. PREP will provide grants to a consortia of economic development service providers that may co-locate, develop formal partnership agreements, or otherwise create a coordinated and performance-based service delivery system that ensures each inquiry or lead from a business or potential entrepreneur is referred to, and acted upon expeditiously by the appropriate service provider(s) in the region.



Pennsylvania Capital Access

Program (PennCAP) – The Pennsylvania Capital Access Program provides a loan guarantee to small businesses based on a portfolio concept. The Pennsylvania Economic Development Financing Authority (PEDFA) provides capital to guarantee loans made through participating lending institutions in conjunction with the program. Most companies authorized to do business in Pennsylvania will qualify for a PennCAP loan. Start-up businesses may be financed through PennCAP. Consult a loan officer at a participating bank for a list of excluded activities. Loan proceeds must be used for business purposes in Pennsylvania.

Pennsylvania Economic Development Financing Authority (PEDFA) Tax Exempt & Taxable Bond Program

– The program issues tax-exempt and taxable bonds, both in pooled and stand-alone transactions, to be used to finance land and building acquisition; building renovation and new construction; machinery and equipment acquisition and installation; and designated infrastructure. Loans are for no less than \$400,000 and no more than \$10 million for manufacturers.

Pennsylvania First – Pennsylvania First is a comprehensive funding tool to facilitate increased investment and job creation within the commonwealth. This is the principal closing fund used by GAT. Funds may be used toward job training, land and building acquisition and construction, purchase and upgrade of machinery and equipment, construction and rehabilitation of infrastructure, working capital, environmental assessment, and remediation.

Pennsylvania Industrial Development Authority (PIDA)

– This program provides loans for industrial development projects, manufacturing, research and development, agricultural processors, firms establishing a national or regional headquarters, or computer/clerical operation centers. Funds are used for land and building acquisition, building construction, and renovation.

Pennsylvania Infrastructure Technology Alliance (PITA)

– PITA is a collaboration of DCED, Lehigh University's Center for Advanced Technology for Large Structural Systems (ATLSS), and Carnegie Mellon University's Institute for Complex Engineered Systems to support advanced manufacturing research, improve the global competitiveness of Pennsylvania companies to launch new companies, and retain engineering talent in Pennsylvania. DCED funding supports a matching program for manufacturing research and development projects with Pennsylvania university graduate students, faculty and companies. A call for proposals in specific technology areas is released to support competitive and collaborative research projects with manufacturing companies and universities.

Pennsylvania Minority Business Development Authority (PMBDA)

– The Pennsylvania Minority Business Development Authority (PMBDA) Program is designed to stimulate the creation, retention and expansion of minority-owned businesses and to create jobs in Pennsylvania. PMBDA provides low-interest loans to finance a portion of the costs of land, building, machinery and equipment, and working capital to minority business enterprises unable to fully finance these projects with equity, bank financing, or other private and public sources.

Powdered Metals Program

– The Powdered Metals Program provides training programs for the existing workforce supported through Penn State and the regional community education councils. The program makes use of the facilities in the schools within the north

central Pennsylvania region, such as the metalworking facility at St. Mary's High School and the laboratory at the Penn State DuBois campus. The Powdered Metals grant funds are used for equipment and training related to the powdered metals industry. Funding is dependent upon budget recommendations and constraints set in the adopted annual budget for the commonwealth. The sole applicant under this program is Penn State University.

Regional Investment

Marketing Program (RIM)

The RIM grant program is designed to support the marketing initiatives of regional alliances that adopt DCED's industry-focused economic development model as part of a comprehensive business expansion and investment attraction initiative. RIM grant program is intended to reinforce an understanding that competitiveness within specific industry sub-segments is the key to the successful attraction of business and investment to Pennsylvania; promote targeted marketing by regional alliances while reinforcing the image and reputation of the commonwealth as a destination of choice for investment; support marketing initiatives which utilize an investor-oriented approach to investment attraction. These marketing initiatives must be based upon regional markets rather than traditional political or geographic boundaries. Funding requests may not exceed \$5,000 (a minimum of 1:1 matching funding is required).

Renewable Energy Program

– Geothermal & Wind – The Renewable Energy Program (REP) provides financial assistance in the form of grants and loans to promote the use of alternative energy in Pennsylvania. The program is administered jointly by the Department of Community and Economic Development (DCED) and the Department of Environmental Protection (DEP) under the direction of the Commonwealth Financing Authority (CFA).

Research & Development Tax

Credit Program – The Research and Development Tax Credit (R&D Tax Credit) program was established to assist the growth and development of

technology-oriented businesses, particularly small start-up technology businesses. R&D tax credits have been issued by the commonwealth to offset state tax liabilities for some time, and there has been a special minimum portion of R&D tax credits reserved for small businesses. However, many small businesses in the early stages of development are not yet profitable and do not have significant tax liability. In the past, these businesses have often not bothered to apply for the available R&D tax credits for which they were eligible. With the R&D Tax Credit assignment program, technology businesses can sell unused R&D tax credits on the open market to help advance and grow the business.

Second Stage Loan Program –

This program offers a guarantee program for lending institutions to undertake projects for second stage manufacturers (companies between 2 and 7 years old), advanced technology and life sciences businesses. Funds are used for loan guarantees primarily for working capital, but also to assist in asset financing needs.

Section 108 Loan Guarantee

Program – This program is for municipalities to undertake community or economic development projects for local governments eligible to receive CDBG funds from DCED. (Urban counties and major cities are funded directly by HUD, not through DCED.) Funding is used for a wide variety of activities associated with community or economic development, such as housing rehabilitation, water and sewer lines, redevelopment projects, and assistance to private sector commercial or industrial firms.

Small Diverse Business Capital Access Program (SDBCA) –

The Small Diverse Business Capital Access Program is administered by the Pennsylvania Industrial Development Authority (PIDA) and provides low-interest loans and lines of credit to small diverse businesses that commit to creating and retaining full-time jobs within the Commonwealth. Loan applications are packaged and underwritten by a network of certified economic development organizations (CEDOs) that partner with PIDA to administer the

program. The SDBCA program finances a portion of total eligible project costs. The maximum participation amount is determined by a variety of factors such as the proposed use of the SDBCA funds, the amount of matching financing from sources outside of SDBCA and the number of full-time jobs to be retained or created. The maximum term loan amount is \$200,000. The maximum line of credit amount is \$100,000.

Tax Increment Financing Guarantee Program (TIF) –

This program promotes and stimulates the general economic welfare of various regions and communities in the commonwealth and assists in the development, redevelopment and revitalization of Brownfield and Greenfield sites in accordance with the TIF Act. The program provides credit enhancement for TIF projects to improve market access and lower capital costs through the use of guarantees to issuers of bonds or other debt. Funds are used for infrastructure and environmental projects for industrial enterprises and retail establishments, infrastructure, environmental and building projects for manufacturers, hospitals, or convention centers, utilization of abandoned or underutilized industrial, commercial, military, previously mined institutional sites or buildings; or undeveloped sites planned and zoned for development in accordance with any existing comprehensive municipal plan.

Water Supply & Wastewater Infrastructure Program

(PennWorks) – The Water Supply and Wastewater Infrastructure Program (PennWorks) was established by the General Assembly, subsequent to the overwhelming approval by the electorate of a referendum in May of 2004. The program provides single-year or multiyear grants to municipalities and municipal authorities and loans to municipalities, municipal authorities, industrial development corporations and investor-owned water or wastewater enterprises for projects which construct, expand or improve water and wastewater infrastructure which are related to economic development. The PennWorks Program is administered by the Commonwealth Financing Authority (CFA).

Watershed Restoration Protection Program (WRPP) –

Act 13 of 2012 established the Marcellus Legacy Fund which allocates funds to CFA for watershed restoration and protection projects. The overall goal of the WRPP is to restore and maintain stream reaches impaired by the uncontrolled discharge of nonpoint source polluted runoff and ultimately to remove these streams from DEP's Impaired Waters list. Funds are used for projects involving watershed restoration/protection; refurbishing, restoring or creating stabilization of stream banks or channels; repairing and maintaining existing watershed protection, creating Best Management Practices (BMP); and monitoring water quality.

Weatherization & LIHEAP

Crisis – This program reduces energy consumption in low-income eligible households. The LIHEAP Crisis Program is coordinated through the Department of Public Welfare County Assistance Offices to provide assistance to low-income eligible clients who have a heating emergency. For Weatherization, an energy audit of the home is conducted which determines air flow and leakage. A priority list of measures is followed to determine what should be done in a home to reduce energy usage. Measures may include weather-stripping, insulation, and window or door repair. For LIHEAP Crisis, furnaces may be repaired or replaced. LIHEAP funds, if available after the crisis season is over, are also used to weatherize homes.

WEDnetPA – Through the Guaranteed Free Training Program (GFT), qualified in-state businesses and out-of-state companies relocating to Pennsylvania can access funding for a wide range of employee training. This program provides grant funding to Pennsylvania companies for essential skills and advanced technology training of employees. Employees eligible for training under this program must be full-time employees of the business receiving GFT funding, must be Pennsylvania residents, must work in Pennsylvania, and must earn at least 150 percent of the federal minimum wage.

COMMUNITY CONSERVATION PARTNERSHIP PROGRAM (C2P2)

The Department of Conservation and Natural Resources (DCNR) Bureau of Recreation and Conservation (BRC) provides a single point of contact for communities and non-profit conservation agencies seeking state assistance through its Community Conservation Partnerships Program (C2P2) in support of local recreation and conservation initiatives. This assistance can be in the form of grants, technical assistance, information exchange and training. All of DCNR's funding sources are combined into one annual application cycle (generally early spring), and there is a single application format and process with one set of requirements and guidelines. Some C2P2 applications are selected for funding through the Federal Land and Water Conservation Fund Program or the Pennsylvania Recreational Trails Program, which require some supplemental information to address federal requirements. To obtain assistance, contact one of DCNR's BRC six Regional Offices.

Community Parks and

Conservation – Community Parks and Conservation grants are awarded to municipalities and authorized nonprofit organizations for community recreation, park, and conservation projects. These include planning for comprehensive recreation, park open space and greenway plans, land conservation and stewardship plans, swimming pool and indoor recreation facility feasibility studies, master site development plans; and land acquisition for active or passive parks, and conservation purposes; and new development and rehabilitation of parks and recreation facilities. Most projects require a 50% match, which can include a combination of Cash and/or Non-Cash values.

Land Trust Projects – Land Trust grants are awarded to pre-qualified Land Trusts and Conservancies to fund projects for open space and natural areas planning and acquisition. Priority is given to protecting critical habitat; creating

connections to other natural, cultural, historic, or recreational areas; and implementing a River Conservation Plan for the protection and enhancement of our waterways. These grants require a 50% cash match and/or a land donation non-cash value.

Partnerships Grant Program –

State and regional partnerships grants are available to advance the Pennsylvania's Statewide Comprehensive Outdoor Recreation Plan, Pennsylvania's Heritage Areas Program, and the conservation landscape program. Partnerships program categories include: education and training activities; special purpose planning; implementation projects; and mini-grant programs. Match is based upon the funding source and level of available funding.

Pennsylvania Recreational

Trails (PRT) Program - Grants are awarded to federal and state agencies, municipalities, non-profit and for-profit organizations to assist with the construction, rehabilitation and maintenance of trails and trail related facilities, the purchase or lease of equipment for maintenance and construction, and the development of educational materials and programs for both motorized and non-motorized recreational trails. These grants require a minimum 20% match, which can include a combination of cash and/or non-cash values.



Trails Program - Grants are awarded to municipalities and non-profit organizations to assist with planning, acquisition of land, construction, rehabilitation and maintenance of trails and trail-related facilities. For the purposes of this program, trails are defined as a designated land or water corridor with public access that provides recreation

and/or alternative transportation opportunities to motorized and/or non-motorized users of all ages and abilities. To be considered a "Trail project" at least 75% of the total project cost must be related to trail activities and/or trailside facilities. These grants require a minimum of a 50% match, which can include a combination of cash and/or non-cash values.

Rivers Conservation –The purpose of this funding is to develop or implement watershed/river-corridor conservation plans. Priority is given to applications that propose the implementation of recommendations from river or watershed plans on the Pennsylvania Rivers Registry. The Bureau will consider early implementation development projects for funding in watersheds not linked to a Rivers Conservation Plan if the project can stand on its own merits and presents a significant and/or unique opportunity that connects communities to their rivers and advances the goals of the Rivers Conservation Program. These grants require a minimum of a 50% match, which can include a combination of Cash and/or Non-Cash values.

Snowmobile Projects - Grants are awarded to federal and state agencies, municipalities, non-profit and for-profit organizations to assist with planning, acquisition of land, construction, rehabilitation and maintenance of snowmobile trails and trail related facilities, the purchase or lease of equipment for maintenance and construction, and the development of educational materials and programs. These grants require a minimum 20% match, which can include a combination of cash and/or non-cash values. Land acquisition projects require a 50% cash match and/or a land donation non-cash value as match.

All-Terrain Vehicle (ATV)

Projects - Grants are awarded to federal and state agencies, municipalities, non-profit and for-profit organizations to assist with planning, acquisition of land, construction, rehabilitation and maintenance of ATV trails and trail related facilities, the purchase or lease of equipment for maintenance and construction, and

the development of educational materials and programs. These grants require a minimum 20% match, which can include a combination of cash and/or non-cash values. Land acquisition projects require a 50% cash match and/or a land donation non-cash value as match.

Circuit Rider – The Circuit Rider grants are awarded to initiate new programs and services for county (ies), Council of Governments and/or multi-municipal entities that individually do not have the financial resources to hire a professional full-time staff person. The Circuit Rider's purpose is to initiate new programs and services in the designated service area. The intended result of this project is to increase the ability of grantee to more efficiently and effectively meet their recreation, park, greenway, open space and/or natural resource conservation needs. The grantee will be responsible for the long-term financial commitment and maintenance of this professional position.

This is a four year commitment of funding. Match is variable based on the year of funding. Eligible project costs include the circuit rider's salary only and Bureau-approved technical assistance and training expenses as follows:

- Year- One: up to one hundred percent (100%) of gross salary.
- Year- Two: up to seventy-five percent (75%) of gross salary.
- Year- Three: up to fifty percent (50%) of gross salary.
- Year- Four: up to twenty-five percent (25%) of gross salary.

Peer Grants – Peer grants are awarded to help municipalities improve their park, recreation and conservation services through a collaborative process. Projects are accomplished through contracts with experienced park, recreation and conservation professionals from nearby communities who will work closely with local leaders. Examples may include projects that form new intergovernmental recreation and park agencies (which is a high priority for DCNR); improving management of a specific facility like a community center, trail or pool; conducting an overall management assessment of an agency's park and recreation services;

park and recreation board training and development, etc. The applicant must provide at least a ten percent (10%) local cash match. Non-cash match is not accepted for Peer-to-Peer Projects.

VOLUNTEER FIRE ASSISTANCE GRANT PROGRAM

Bureau of Forestry Grants – This grant provides financial and technical assistance to State Foresters in cooperative efforts to organize, train and equip local forces in rural areas or communities with a population under 10,000 to prevent, control and suppress fires which threaten human life, livestock, wildlife, crops, pastures, orchards, woodlands, farmsteads, or other improvements in rural areas. The key objective of this program is to save lives and protect property in unprotected or inadequately protected rural areas.

CONTACT – Charles C. Choplick, Program Manager, at 717-787-2925

WILD RESOURCE CONSERVATION PROGRAM (WRCP)

Wild Resource Conservation Program – The Wild Resource Conservation Program awards grants for biodiversity research, conservation, and education. The grant application window opens in April and ends June 30. While the exact grant priorities vary from year to year, our current focus is on better understanding and addressing the effects of environmental stressors, such as development and PA's changing environment, on our native species. Most of the funds available through this grant program come from the Environmental Stewardship Fund, therefore applicants must be counties, municipalities, or tax exempt 501 (c)(3) organizations.

CONTACT – Greg Czarniecki, 717-787-1337

REGIONAL DEPARTMENT CONTACTS

North Central Field Office (Danville)

Cameron, Centre, Clinton, Columbia, Lycoming, Montour, Northumberland, Potter, Snyder, Tioga and Union counties

200 State Hospital Drive
Montour Building #4, Room 2016
Danville, PA 17821
570-401-2465 • Fax: 717-787-9577
Email: mfahringer@pa.gov

South Central Field Office (Harrisburg)

Adams, Bedford, Blair, Cambria, Cumberland, Dauphin, Franklin, Fulton, Huntingdon, Juniata, Lancaster, Lebanon, Mifflin, Perry, Somerset and York counties

P.O. Box 8475
Harrisburg, PA 17105
717-705-5956 • Fax: 717-705-2943
Email: lyeich@pa.gov or jschreibma@pa.gov

Northeast Field Office (Lehighton)

Berks, Bradford, Carbon, Lackawanna, Lehigh, Luzerne, Monroe, Northampton, Pike, Schuylkill, Sullivan, Susquehanna, Wayne, Wyoming counties

Jacobsburg Environmental Education Center
400 Belfast Road
Nazareth, PA 18064
610-746-5607 • Fax: 610-746-2804
Email: lpossinger@pa.gov or cdetttore@pa.gov

Southeast Field Office (Philadelphia)

Bucks, Chester, Delaware, Montgomery, and Philadelphia counties

801 Market St.
Suite 6020
Philadelphia, PA 19107-3158
215-560-1183 • Fax: 215-965-5686
Email: agilchrist@pa.gov or jealynch@pa.gov

Southwest Field Office (Pittsburgh)

*Allegheny, Armstrong, Beaver, Butler, Fayette,
Greene, Indiana, Lawrence, Mercer, Wash-
ington, and Westmoreland counties*

301 Fifth Ave.
Suite 324
Pittsburgh, PA 15222-2420
412-880-0486 • Fax: 412-770-1405
Email: kfrankel@pa.gov or
amattis@pa.gov

Northwest Field Office (Pittsburgh)

*Clarion, Clearfield, Crawford, Elk, Erie, For-
est, Jefferson, McKean, Venango and Warren
counties*

158 South Second Avenue
Clarion, PA 16214-2404
814-226-2329 • Fax: 814-226-1704
Email: ewiley@pa.gov

Pennsylvania Emergency Management Agency

pema.pa.gov

GRANT MANAGEMENT PROGRAMS

Emergency Management Performance Grant

The Emergency Management Performance Grant encourages the development of comprehensive disaster preparedness and assistance plans, programs, capabilities, and organizations by the States and by county governments. Financial and technical assistance is provided to support State and county governments' efforts to improve their emergency response capabilities. By providing this assistance, the Federal government stresses the importance of, and the fact that there is a national interest in, all jurisdictions having emergency management programs that:

- Have a dedicated staff to conduct and coordinate emergency management activities;
- Prepare and maintain comprehensive Emergency Operations Plans (EOP) that address relevant hazards;
- Ensure that all members of the emergency response organization receive the necessary training;
- Ensure that there is an aggressive exercise program that provides a means to evaluate and test plans, people, procedures, equipment, etc.; and,
- Apply the results and lessons learned from self-assessments, deficiencies identified during the conduct of exercises, EOP reviews, and post-disaster response critiques to improve the jurisdiction's emergency response capabilities.

Such organizations and programs are expected to play a key role in cooperation and coordination with Federal departments and agencies and private sector organizations in developing, maintaining and improving a comprehensive, national emergency management system.

Funds under this program are appropriated by Congress for allocation to the states by the Federal Emergency Management Agency (FEMA). The states, in turn, are responsible for subgranting these funds to county emergency management

organizations for reimbursement of salaries and benefits. Grants are available on a 50% matching basis.

County Employment Opportunities
County governments participating in the EMPG are subject to the federal merit system and the State Civil Service Commission (SCSC) rules and procedures. Counties may also participate in the EMPG if they have a county merit system. The following classifications are those most commonly used by county participants.



Flood Mitigation Assistance Program

The Flood Mitigation Assistance Program (FMAP) is a pre-disaster mitigation program. FMAP is funded annually; a federal disaster declaration is not required. FMAP funding comes from the National Flood Insurance Program (NFIP). Only NFIP-insured homes and businesses are eligible for mitigation in this program. Funding for the FMAP is very limited. Applications must come from local governments or other eligible organizations; individuals cannot apply directly for the program. Applications for the FMAP are done electronically through FEMA's e-Grants System. The federal cost share for an FMAP project is 75 percent. At minimum, a FEMA-approved local flood mitigation plan is required before a project can be approved. Some funding is available for planning.

CONTACT - Tom Hughes
thughes@pa.gov or 717-651-2726

Hazardous Materials Emergency Preparedness Planning & Training Grants

Federal Hazardous Material Law authorizes the U. S. Department of Transportation (U.S. DOT) to provide assistance to

public sector employees through planning and training grants to States, Territories, and Native American tribes for emergency response. The purpose of this grant program is to increase effectiveness in safely handling hazardous materials accidents and incidents, enhance implementation of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA), and encourage a comprehensive approach to emergency training and planning by incorporating the unique challenges of responses to transportation situations. The U.S. DOT Research and Special Programs Administration, under a program that began in 1993, provides a reimbursable grant to every state to assist in hazardous materials response planning and related training activities. States are required to apply each year for the funding. Of funding received, 75% is earmarked for local planning and training activities.

The Commonwealth's 67 counties are eligible to apply for grants provided under this program. While these grants require a 20% county match, the match may be accomplished with county or state Hazardous Materials Response Fund grants, or as a "soft-match", such as the value of in-kind contributions. Counties may receive grants in both planning and training programs. Grants under this program are on a reimbursable basis - expenditures are reimbursed after completion of activities or contractual obligations.

CONTACT - Jamie Shaffer
jamishaffe@pa.gov or 717-651-2014

Hazardous Materials Response Fund

The Hazardous Materials Response Fund, supported by fees assessed to the chemical industry, is designed to provide supplemental emergency preparedness funding for chemical emergency preparedness at county and state levels. These funds are to be used for the preparation of chemical emergency plans by Local Emergency Planning Committees (LEPCs) and industry, acquisition of hazardous materials response team equipment, public Right-to-Know education, chemical

industry awareness and compliance, and the conduct of training and exercises. All 67 counties of the Commonwealth are eligible to apply for grants under the guidance of Act 1990-165, as amended.

CONTACT - Jamie Shaffer
jamishaffe@pa.gov or 717-651-2014

Non-Profit Security Grant Program (NSGP)

The non-profit security grant program is a federal program administered by the Pennsylvania Emergency Management Agency. The purpose of this program is to provide funding to eligible non-profit organizations, generally limited to target hardening and physical security enhancements. The focus is on organizations that are at high risk of a potential terrorist attack. The program further seeks the integration of preparedness activities of the non-profit sector with the local and state preparedness efforts, while promoting emergency preparedness collaboration and coordination.

Pre-Disaster Mitigation Program

The Pre-Disaster Mitigation Program (PDM) is an annually funded nationwide competitive grant program; a federal disaster declaration is not required. As with the FMAP, the program is open to any local governments or eligible organizations; individuals cannot apply directly for the program. Applications for the FMAP are done electronically through FEMA's e-Grants System. The federal cost share for an FMAP project is 75 percent. At minimum, a FEMA-approved local flood mitigation plan is required before a project can be approved. Some funding is available for planning.

Because PDM is a nationwide program, applications from across the United States compete for available funds. The information required to compete a PDM application can be extensive because of the level of competition for funds. PEMA mitigation staff are available to assist local applicants with those demands and completing their e-Grant applications.

CONTACT - Tom Hughes
thughes@pa.gov or 717-651-2726



Radiation Transportation Emergency Response

The Radiation Transportation Emergency Response Fund (RTERF), which is supported by fees assessed each shipper of spent nuclear fuel to, within, through, or across the Commonwealth, is designed to provide financial assistance to train and equip local agencies and volunteer organizations to respond to accidents involving the shipment of spent nuclear fuel. The fund provides grants to the 47 counties that have an approved Nuclear Regulatory Commission / Commonwealth of Pennsylvania route for the shipment of spent nuclear fuel within 5 miles of their jurisdictional borders. Counties may apply one time during a fiscal year for a maximum grant amount of \$5,000.00.

CONTACT - Jamie Shaffer
jamishaffe@pa.gov or 717-651-2014

Radiological Emergency Response

The Radiological Emergency Response Fund (RERF), supported by fees assessed to nuclear power plants, is designed to provide financial assistance to the 26 designated risk and support counties, municipalities, school districts, volunteer organizations and State agencies in order to carry out the purposes of the Radiological Emergency Response Program.

The Radiological Emergency Response Program provides for the development of a detailed fixed nuclear emergency response plan for areas surrounding each nuclear electrical generation facility, nuclear fabrication and away-from-reactor storage facility located in the Commonwealth, the training and equipping of State and local emergency response personnel, the periodic exercise of the accident scenarios designated in the

Nuclear Regulatory Commission emergency response plan applicable to each fixed nuclear facility, and the procurement of specialized supplies and equipment.

CONTACT - Jamie Shaffer
jamishaffe@pa.gov or 717-651-2014

ENERGY PROGRAMS

Alternative Fuel Incentive Grant (AFIG) Program

– Grants for municipalities, school districts, businesses and others for costs associated with alternative fueled vehicles and other alternative fuel projects.

For more information, visit dep.pa.gov, search: AFIG.

CONTACT – DEP Office of Pollution Prevention and Energy Assistance at 717-783-8411



Alternative Fuel Vehicle (AFV) Rebate Program

– Provides rebates to consumers for the purchase of new, non-leased, plug-in hybrid, plug-in electric, natural gas, propane, and hydrogen fuel cell vehicles. Consumers may submit applications for up to six months after the date of purchase. Consumers should be aware that funds may be depleted before their rebate application can be processed.

For more information, visit dep.pa.gov, search: Alternative Fuel Vehicles.

CONTACT – AFV Rebate Program at 1-866-294-3854

Pennsylvania Energy Development Authority Grants

– In general, PEDAs provides grants and loan guarantees for alternative energy projects and related research referring to deployment projects, manufacturing or research involving the following types of fuels, technologies or measures: solar energy; wind; low-impact hydropower; geothermal; biologically derived methane gas, including landfill gas; biomass; fuel cells; coal-mine methane; waste coal; integrated gasification combined cycle; and demand management

measures, including recycled energy and energy recovery, energy efficiency and load management.

NOTE: The PA Energy Development Authority Grant Program is currently closed.

For more information, visit dep.pa.gov, search: PEDAs.

CONTACT – PA Energy Development Authority at 717-783-8411

Natural Gas Vehicle Program

– Provides 50 percent matching grants, up to a maximum of \$25,000 per vehicle, for the incremental purchase costs, including the cost to retrofit, for dedicated compressed natural gas (CNG), liquefied natural gas (LNG), or bi-fuel vehicles that weigh at least 14,000 pounds. Eligible applicants for this grant program are commonwealth or municipal authorities, the Pennsylvania Turnpike Commission, nonprofit entities, for-profit companies, local transportation organizations, and state-owned or state-related universities.

NOTE: The Natural Gas Vehicle Program is currently closed.

For more information, visit dep.pa.gov, search: Natural Gas Vehicle Program.

CONTACT – DEP Office of Pollution Prevention and Energy Assistance at 717-783-8411



Small Business Advantage Grant Program

– Provides 50 percent matching grants, up to a maximum of \$9,500, to enable Pennsylvania small businesses to adopt or acquire energy efficient or pollution prevention equipment or processes.

For more information, visit dep.pa.gov, search: SBA Grant.

CONTACT – DEP Small Business Ombudsman at 717-772-5160

The Small Business Pollution Prevention Assistance Account (PPAA) Loan Program

– Provides low interest loans to small businesses undertaking projects (located within the Commonwealth of Pennsylvania) that reduce waste, pollution or energy use. Loans will be used to fund 75 percent of the total eligible project costs, up to a maximum of \$100,000. Small businesses with 100 or fewer full-time employees are eligible. The loan interest rate is 2 percent and has a maximum term of 10 years.

For more information, visit dep.pa.gov, search: PPAA.

CONTACT – DEP Small Business Ombudsman at 717-772-5160

ENVIRONMENTAL CLEANUP & BROWNFIELDS

Act 108 – Technical Evaluation of HSCA Remedial Action

(HSCA) – Evaluation Grants for HSCA Sites Reimbursement of \$50,000 for municipalities to conduct an independent evaluation of proposed remedial response at a HSCA site.

For more information, visit dep.pa.gov, search: Hazardous Sites Cleanup Program.

CONTACT – DEP Bureau of Environmental Cleanup and Brownfields, Division of Site Remediation, at 717-787-0886

Underground Storage Tank Environmental Cleanup Program

– Reimbursement for corrective action costs up to \$4,000 to assist owners of underground storage tanks with a capacity of 3,000 gallons or less used for storing heating oil for consumption on the premises where stored. The tank owner is subject to a \$1,000 deductible.

For more information, visit dep.pa.gov, search: Storage Tank Cleanup Program

CONTACT – DEP Bureau of Environmental Cleanup and Brownfields, Division of Site Remediation, at 717-787-0886

Underground Storage Tank Pollution Prevention Program

(aka "Pump & Plug Program") – Provides grants to owners of six or fewer underground tanks that have not been upgraded to technical regulatory requirements. Maximum grant is \$2,500 per tank and reimbursement is limited to pumping out and disposing of regulated product from the tank, cleaning the inside of the tank, and if the tank will be put into temporary closure, grouting the fill pipe.

For more information, visit dep.pa.gov, search: Storage Tanks and link to Financial Assistance.

CONTACT – DEP Bureau of Environmental Cleanup and Brownfields, Division of Storage Tanks at 717-772-5599; 1-800-42-TANKS

GENERAL PROGRAMS

Environmental Education –

Provides reimbursements for schools and school districts, nonprofit organizations, universities and colleges, county conservation districts, municipalities and municipal authorities and business for environmental education programs up to \$50,000

For more information, visit dep.pa.gov, search: EE Grant.

CONTACT – DEP Environmental Education and Information Center at 717-772-1644

West Nile Virus Control Program

– Grants to counties provide funds to counties to conduct West Nile Virus mosquito surveillance and control activities.

For more information, visit westnile.state.pa.us.

CONTACT – DEP Vector Management at 717-346-8242

AIR QUALITY

Pennsylvania State Clean Diesel Grant Program

– Provides reimbursement grants to retrofit, repower, or replace diesel-powered vehicles and equipment with new diesel, alternative fuel, hybrid, and all-electric vehicles and equipment. Available funding varies by project type, ranging from 25% to 100%. Eligible ap-

plicants include businesses (with some restrictions), incorporated nonprofits (with some restrictions), school districts, municipal governments and municipal authorities, and other state agencies. Approximately \$100,000 is available for funding annually.

For more information, visit the Bureau of Air Quality website at: <http://www.dep.pa.gov>.

CONTACT – DEP Bureau of Air Quality at 717-787-9495

WASTE MANAGEMENT

Act 101, Sec. 901 – County

Planning Grants – Provide counties up to 80 percent reimbursement for preparation of waste management plans required by Act 101 and pollution prevention education activities.

For more information, visit dep.pa.gov, search: Recycling Grants.

CONTACT – DEP Bureau of Waste Management, Division of Waste Minimization and Planning at 717-787-7382

Act 101, Sec. 902 – Recycling Grants (902)

– Municipalities and counties are eligible for 90 percent reimbursement toward establishing a municipal recycling program.

For more information, visit dep.pa.gov, search: Recycling Grants.

CONTACT – DEP Bureau of Waste Management, Division of Waste Minimization & Planning at 717-787-7382



Act 101, Sec. 903 – Recycling

Coordinator Grants – Provide reimbursements of up to 50 percent of a county recycling coordinator's salary and approved expenses.

For more information, visit dep.pa.gov, search: Recycling Grants.

CONTACT – DEP Bureau of Waste Management, Division of Waste Minimization & Planning at 717-787-7382

Act 101, Sec. 904 – Recycling Performance Grants

– Grants awarded to municipalities based on weight and type of materials recycled in the previous calendar year.

For more information, visit dep.pa.gov, search: Recycling Grants.

CONTACT – DEP Bureau of Waste Management, Division of Waste Minimization & Planning at 717-787-7382



Host Municipal Inspector Reimbursement (Municipal) –

Payment for 50 percent of cost for host municipality to employ up to two certified inspectors for a landfill or resource recovery facility

For more information, visit dep.pa.gov, search: Host Municipality Waste.

CONTACT – DEP Bureau of Waste Management, Program Development at 717-787-9870

Host Municipality Review of Permit Applications

– Up to \$10,000 grant for host municipalities for independent review of permit applications for a new landfill, resource recovery facility or expansion of an existing landfill or resource recovery facility.

For more information, visit dep.pa.gov, search: Host Municipality Waste.

CONTACT – DEP Bureau of Waste Management at 717-787-7381

Act 108 – (HSCA) Host Municipality Siting Reviews

– Reimbursement of \$50,000 to host municipality and host county for independent review of permit application for commercial hazardous waste disposal facility.

For more information, visit dep.pa.gov, search: Hazardous Sites.

CONTACT – DEP Bureau of Waste Management, Division of Hazardous Waste Management at 717-787-6239

Act 108 – (HSCA) Host Municipal Inspector Reimbursement

Payment for 50 percent of cost for host municipality to employ up to two certified inspectors at a commercial hazardous waste treatment facility.

For more information, visit dep.pa.gov, search: Hazardous Waste.

CONTACT – DEP Bureau of Waste Management, Program Development at 717-787-9870

Act 190 – Household Hazardous Waste Collection Program

Counties, municipalities and other entities engaging in HHW collection and disposal programs are eligible for 50 percent reimbursement.

For more information, visit dep.pa.gov, search: Hazardous Waste.

CONTACT – DEP Bureau of Waste Management, Division of Waste Minimization & Planning at 717-787-7382

Independent Review of Permit Application/Siting Plan Review

Reimbursement for independent review of permit application or modification for a commercial hazardous waste disposal facility.

For more information, visit dep.pa.gov, search: Hazardous Waste.

CONTACT – DEP Bureau of Waste Management, Division of Hazardous Waste Management at 717-787-6239

WATER-RELATED PROGRAMS

Coastal Zone Management

Program Grants – Program for municipalities and other entities in the watersheds of Lake Erie or the Lower Delaware River and Estuary.

For more information, visit dep.pa.gov, search: Compacts and Commissions Office.

CONTACT – DEP Compacts and Commissions Office at 717-772-4785

Growing Greener Watershed and Protection Grants – Provides grants to assist citizen groups, conservation districts and local governments in developing and implementing watershed remediation plans or watershed restoration projects and protective measures.

For more information, visit dep.pa.gov, search: Growing Greener.

CONTACT – DEP Office for Water Resources Planning, Division of Planning and Conservation at 717-772-5642

Non-point Source Pollution Control Projects Funding (319 Grants)

Provides grants for projects outlined in EPA-approved Watershed Implementation Plans that address impaired waters through implementation, education, monitoring, demonstration or innovative practices to control or reduce non-point sources of pollution.

For more information, visit dep.pa.gov, search: Nonpoint Source Grant.

CONTACT – DEP Bureau of Conservation and Restoration, Division of Conservation at 717-772-5642

ENVIRONMENTAL-RELATED RESOURCES

Below are some general areas to look for assistance for various environmentally related projects from watershed management, alternative energy, open space, recycling, etc.

League of Women Voters of Pennsylvania – Water Resources Education Network – WREN makes connections between Pennsylvania citizens and water resources information.

CONTACT – wren.palwv.org

Pennsylvania Department of Environmental Protection's Office of Small Business Ombudsman

- Serves as the primary advocate for small businesses within the Pennsylvania Department of Environmental Protection.

- Assists small businesses in realizing the potential cost savings of pollution prevention versus pollution control
- Provides confidential assistance to small businesses within the commonwealth needing help with environmental issues
- Provides compliance assistance and pollution prevention pamphlets and fact sheets for small businesses
- Provides information to small businesses needing financial assistance to implement pollution prevention practices and comply with environmental regulations

CONTACT – dep.pa.gov, search: Small Business Ombudsman

Pennsylvania Small Business Development Centers' Environmental Management Assistance Program (EMAP)

EMAP is a specialized consulting service of the Pennsylvania Small Business Development Centers. They provide free and confidential environmental compliance assistance to small businesses in Pennsylvania. EMAP environmental consultants can help small businesses understand how to comply with regulatory requirements and assist with the preparation of plans and permit applications for start-up and existing businesses.

CONTACT – askemap.org

PENNVEST – Since its inception, PENNVEST has continued its service to the communities and citizens of Pennsylvania by funding sewer, storm water and drinking water projects throughout the commonwealth. These projects not only contribute to improving Pennsylvania's environment and the health of its people, they also provide opportunities for economic growth and jobs for Pennsylvania's workers. Areas of assistance: Drinking Water, Wastewater and Storm Water Loans; On-Lot Sewage Disposal Funds; Brownfield Redevelopment.

CONTACT – pennvest.pa.gov

Pennsylvania Film Office

filminpa.com

The Commonwealth of Pennsylvania, through the Department of Community and Economic Development and the Pennsylvania Film Office, administers the Film Tax Credit Program. Applicants should read and understand the requirements prior to submitting an application. Applicants are also strongly advised to consult with their legal and financial advisors prior to submitting an application.

Program Eligibility Projects eligible for Film Tax Credits under the Program are:

- The production of a feature film
- Television film
- Television talk or game show series
- Television commercial
- Television pilot
- Episodes of a television series intended as programming for a national audience

The following are NOT eligible projects: A production featuring news, current events, weather and market reports, or public programming, sports events, awards shows or other gala events, a production that solicits funds, a production containing obscene material or performances as defined in 18 PA.C.S. § 5903(b) (relating to obscene and other sexual materials and performances), or a production primarily for private, political, industrial, corporate, or institutional purposes.

Program Requirements – All Film Tax Credit awards are subject to the availability of funds. A project is eligible if at least 60% of the project's Total Production Budget is used for Qualified Pennsylvania Production Expenses. Projects already completed at the time of application are not eligible for a Film Tax Credit. Projects with a Film Tax Credit Contract may not submit a revised or new application for additional film tax credits for the same project.

Available Tax Credits – The amount of the Film Tax Credit available for an eligible project is equal to 25% of Qualified Pennsylvania Production Expenses for the project. The term "Qualified Pennsylvania Production Expenses" includes nearly all production



(including pre- and post-production) expenses incurred in Pennsylvania, subject to certain limitations and exceptions. An eligible project may receive an additional 5% tax credit if the taxpayer films a feature film, television film, or television series, which is intended as programming for a national audience that meets the minimum stage filming requirements and the taxpayer films the eligible project in a Qualified Production Facility.

Film Tax Credit Program

Guidelines – If a project is scheduled to be in production for more than 12 months the applicant may, at the time of application, make a written request for the Film Tax Credit to be issued on an annual basis rather than upon completion of production.

The PA Film Office may, at its discretion, grant such a request subject to the following conditions:

- The amount of the Film Tax Credit to be issued shall be limited to 25% of Qualified Pennsylvania Production Expenses actually incurred in connection with the project during the relevant 12 month period specified in the request;
- The applicant shall comply with the Financial Compliance instructions for the Program (Section X) with respect to each Film Tax Credit to be issued under this provision; and
- If accepted by the PA Film Office, the request may not be withdrawn or changed. The PA Film Office will limit a Film Tax Credit award to an amount no greater than the 20% cap available in a fiscal year.

Please visit www.filminpa.com for further details and applications.



Fire Company and Emergency Medical Service Grants

The Fire Company, Emergency Medical Service Grant Program (FCEMSGP) provides grants for volunteer and career fire, emergency medical services and rescue companies. The FCEMSGP makes an annual grant program available for volunteer and career fire companies, emergency medical services and rescue squads. The program provides grant eligibility information and makes an on-line grant application available for all eligible applicants. Based on applicant and project criteria, staff reviews and approves or disapproves all applications, processes signed grant agreements and authorizes dispersal of grant funds. Final grant reports are reviewed for compliance with project description and program guidelines.

ELIGIBLE ORGANIZATIONS – The following organizations may be considered eligible for grant funding:

- All Fire Companies
- Emergency Medical Services
- Volunteer Rescue Squads

ELIGIBLE PROJECTS – Eligible organizations may apply for grant funding for a combination of up to two projects in the following categories:

- **Facilities** – Construction and/or renovation of the fire company's or ambulance service's facility and purchase or repair of fixtures and furnishings necessary to maintain or improve the capability of the company to provide fire, ambulance and rescue services.

- **Equipment** – Purchase or repair of firefighting, ambulance or rescue equipment. Includes the purchase of fuel for company vehicles.
- **Debt reduction** – Debt reduction associated with the facility (1) or equipment (2) categories above.
- **Training** – Training and certification of members.
- Training and education materials regarding fire prevention for the general public.
- **Career Departments Only** – Overtime costs associated with backfilling positions while fire fighters are attending training.
- The Grant Application is available online in September of each year the week following Labor Day.

Volunteer Loan Assistance Program

The General Assembly established the "Volunteer Companies Loan Fund" on July 15, 1976 with Act 208. The Volunteer Loan Assistance Program (VLAP) provides loans at a fixed 2% interest rate to volunteer fire, ambulance and rescue companies for the acquisition, rehabilitation or improvement of apparatus, facilities and equipment.

Since its inception, the program has approved more than \$408 million in loans for the commonwealth's volunteer emergency services community.

The Volunteer Loan Assistance Program (VLAP) provides low interest loans to volunteer fire companies, ambulance services and rescue squads for the purpose of purchasing and modernizing apparatus, facilities and equipment. Forms and informational materials are available to interested organizations. OSFC staff provides technical assistance and regulatory guidance to applicants; receives, analyzes and approves loan applications; supplies loan closing services; maintains financial and historical files and services all accounts until they are closed.

LOANS ARE MADE FOR THE FOLLOWING PURPOSES:

- **Apparatus** – to purchase new, or to repair/rehabilitate apparatus that no longer meets NFPA standards and to bring it into such compliance
- **Facility** – to construct or modernize those portions which house apparatus or purchase a used facility
- **Equipment** – to purchase accessory, communications or protective

LOAN AMOUNTS – The type of project and the project cost will determine the loan amount.

APPLICATION AND INSTRUCTIONS – The VLAP application and instructions are available online at osfc.pa.gov.

The VLAP staff provides professional, courteous assistance to all applicants.

CONTACT - 800-670-3473, 717-651-2200
Email: RA-VLAP@pa.gov.

FEMA- Fire Grants

www.fema.gov

Assistance to Firefighters Grants

The primary goal of the Assistance to Firefighters Grants (AFG) is to enhance the safety of the public and firefighters with respect to fire-related hazards by providing direct financial assistance to eligible fire departments, nonaffiliated Emergency Medical Services (EMS) organizations, and State Fire Training Academies (SFTA). This funding is for critically needed resources to equip and train emergency personnel to recognized standards, enhance operations efficiencies, foster interoperability, and support community resilience.

Staffing for Adequate Fire & Emergency Response Grants (SAFER)

The Staffing for Adequate Fire and Emergency Response (SAFER) Grants was created to provide funding directly to fire departments and volunteer firefighter

interest organizations to help them increase the number of trained, “front line” firefighters available in their communities.

Fire Prevention & Safety Grants

The Fire Prevention & Safety (FP&S) Grants are part of the Assistance to Firefighters Grants (AFG) and support projects that enhance the safety of the public and firefighters from fire and related hazards.

Assistance to Firefighters Station Construction Grants (SCG)

The American Recovery and Reinvestment Act (ARRA) of 2009 (Public Law 111-5) provided the Department of Homeland Security with \$210,000,000 to fund the 2009 Assistance to Firefighters Fire Station Construction Grants (SCG). This one-time grant opportunity provided 120 fire departments with financial assistance to build new fire stations or modify existing stations in order to enhance response capabilities and protect the community from fire and fire-related hazards.



Fire Prevention and Safety Grants

The Fire Prevention and Safety (FP&S) Grants are part of the Assistance to Firefighters Grants (AFG) and support projects that enhance the safety of the public and firefighters from fire and related hazards. The primary goal is to reduce injury and prevent death among high-risk populations. In 2005, Congress reauthorized funding for FP&S and expanded the eligible uses of funds to include Firefighter Safety Research and Development.



Fire Prevention & Safety Grants - Research & Development

The Fire Prevention and Safety (FP&S) Research and Development Grants (R&D) are part of the Assistance to Firefighters Grant (AFG) and are under the purview of FEMA's Grant Programs Directorate. The goal of this Research and Development Grants Program activity is to reduce firefighter fatal and nonfatal injuries and improve firefighter safety, health, and wellness. Research institutions applying for R&D grants must have fire service partners that will support the ongoing project efforts from design through dissemination and implementation of successful results. Applied research projects include: Clinical Studies, Technology and Product Development, Database System Development, and Dissemination and Implementation Research. The emphasis of this program is on research that will yield results that can be readily applied to improve firefighter safety, health, and wellness. Research and Development Grants have been awarded since 2006.

Fish & Boat Commission

fishandboat.com

Boating Infrastructure Grant Program

The Boating Infrastructure Grant Program provides grants for transient moorage (tie-ups) serving recreational motorboats 26 feet and longer. Transient means passing through or by a place, staying 10 days or less. The program is administered in Pennsylvania by the PA Fish and Boat Commission, in cooperation with U.S. Department of Interior's U.S. Fish and Wildlife Service.

Grants may be made to public and private operators of open-to-the-public boating facilities: municipal agencies (cities, towns, counties, etc.), state agencies (State Parks, DNR, Fish & Wildlife, etc.), and other government entities.

Clean Vessel Act (Pumpout Grant Program)

In 1992, Congress passed the Clean Vessel Act to help reduce pollution from boat sewage discharges into U.S. waters. The grant program established by the act helps fund the construction, renovation, and maintenance of pumpout and dump stations to service pleasure boats.

Clean Vessel Act grant funds are available to both the public and private sector. This includes all local governmental entities and private businesses that own and operate boating facilities. To participate in this program, the pumpout stations must be open to the general boating public.

The Grant will reimburse recipients for up to 75% of the installation cost of pumpout and dump stations. This includes the cost of new equipment, or the renovation or upgrading of existing equipment, as well as necessary pumps, piping, fitting, lift stations, on-site holding tanks, pier or dock modifications, signs, permits and other miscellaneous equipment needed for a complete and efficient station.

The grant will not pay for the construction or renovation of onshore restroom facilities, sewage treatment plants, septic tanks, drain fields or other special treatment devices.

Coldwater Heritage Partnership

The purpose of the Coldwater Heritage Partnership (CHP) is to provide leadership, coordination, technical assistance, and funding support for the evaluation, conservation and protection of Pennsylvania's coldwater streams.

GOALS OF THE CHP INCLUDE:

- Fostering a greater public understanding of watershed characteristics and how they affect coldwater ecosystems;
- Identification of special areas of concern, such as areas with exceptional water quality and high potential for impacts; and,
- Providing technical assistance and financial opportunities to organizations dedicated to protecting our coldwater ecosystems.

The CHP is composed of Pennsylvania Trout (PATU), the PA Department of Conservation and Natural Resources (DCNR), and the PA Fish and Boat Commission (PFBC). The CHP grant program is administered by PATU under contract with DCNR, and receives funding assistance from DCNR, PFBC, and the Western PA Watershed Program (WPWP).

In addition to providing information and technical assistance, the CHP administers a grant program to develop Coldwater Conservation Plans for the purpose of conserving and protecting our coldwater streams. Coldwater Conservation Plans are useful in building local awareness and support for the long term stewardship of coldwater streams and their surrounding watersheds. The plans are meant to identify potential problems and opportunities for stream conservation, and may often also lead to more detailed watershed studies or projects, ultimately improving the health of coldwater ecosystems. The Coldwater Heritage Partnership awards grants of up to \$5,000 annually to non-profit organizations such as watershed groups, conservation districts, municipalities and local chapters of Trout Unlimited.

Cooperative Nursery Grants

Requests from organizations involved in the PA Fish & Boat Commission's (PFBC) Cooperative Nursery Program may be considered for grants from the PFBC. The PFBC reserves the right to determine the eligibility of a project for support. Grants will be for a maximum of \$3,000.

The Cooperative Nursery Unit (CNU) staff reviews grant applications each year. To be considered for funding, a completed application (PDF) must be in the Cooperative Nursery Unit office by the date specified in the application memo from the unit (this letter will accompany grant applications). Application memos are sent to sponsors in February or March.

CONTACT - Commission's Coordinator, Brian McHail, 814-353-2225, Email: bmchail@pa.gov.

Erie Access Improvement Grant Program

The Erie Access Improvement Grant Program was made possible by Act 159 of 2004 which created a new Lake Erie stamp (required for anglers fishing in the Lake Erie watershed) and a Combination Lake Erie Trout/Salmon stamp. The Act provides that the proceeds from the sale of Lake Erie permits are to be deposited into a restricted account within the Fish Fund to "be used to provide public fishing access on or at Lake Erie and the watersheds of Lake Erie."

Government entities and other non-profit, sporting and conservation organizations may identify acquisition and development needs in the Erie watershed and request Commission funding to assist in addressing these needs. Eligible projects include acquisition of lands and property rights including easements, and/or development of lands to improve and/or maintain angler access.

The Commission initiated this grant program to ensure that anglers in the Erie watershed have the highest quality access to fishing opportunities. The opportunities afforded by Lake Erie and

its tributary streams are unique, and we want to work with partners to improve the existing situation.

Ralph W. Abele Conservation Scholarship Fund

The Ralph W. Abele Conservation Scholarship Fund awarded its first scholarship in 1991. Each year the fund will award between \$1,000 and \$2,000. These awards will assist a worthy young man or woman in pursuing their education at approved institutions of higher learning in one of the environmental disciplines, such as fisheries, forestry, ecology, wildlife management or environmental resource management.

Scholarship selection is based on an applicant's academic potential, his or her interest in conservation education, and his or her demonstrated commitment to conservation. The decision of the Scholarship Committee is final and binding.

The Scholarship Fund is an IRS-recognized organization to which tax deductible donations may be made. The RWA Scholarship is a private, nonprofit organization; its Board of Directors consists exclusively of unpaid volunteers. The Fund is not officially related to the Pennsylvania Fish and Boat Commission.

Sinnemahoning Creek Watershed Restoration Grant Program

Projects must be located in and benefit fishing, boating and the aquatic resources of Cameron, McKean, Elk or Potter County. Eligible projects must occur on lands owned by the applicant in fee simple or where the applicant has a long-term lease or other agreement with the landowner for the site. The nature and the duration of the applicant's interest in the property will be determined by the project type. As a condition of a grant, the PFBC will require that the project site be kept open and accessible to the public at reasonable hours and times of the year consistent with the nature and intended use of the site.

State Wildlife Grant (SWG) Program

Funds for the State Wildlife Grants program (SWG) are provided by the U.S. Fish and Wildlife Service to keep species from becoming federally listed as threatened or endangered. Annually appropriated by the U.S. Congress, Pennsylvania's funds are apportioned between the Fish and Boat Commission and Game Commission to address each agencies' trust species and habitats. For general information about the State Wildlife Action Plans visit: <http://www.fishwildlife.org>.

The Pennsylvania Wildlife Action Plan is the guiding document for addressing the needs of these at-risk species.

CONTACT – Kelly Wiley, 717-705-7912

Valley Creek Watershed Grant Program

The Valley Creek Trustee Council, comprised of the Pennsylvania Fish and Boat Commission and the National Park Service, oversees a grant program to provide funding for restoration of the Valley Creek Watershed in Chester County, PA. The goal of this funding is to improve trout habitat and angler access through implementation of projects related to stormwater management, streambank stabilization, implementation of environmentally sensitive land practices (e.g. easements, land purchase for preservation), and projects that directly improve angler access (e.g. trails). Anyone is eligible to apply!

Visit the Restoring Valley Creek Watershed web site for a grant application and additional information.

Tulpehocken & Quittapahilla Watershed Grant Program

Through an agreement between the PFBC and PPL Ironwood, LLC, funds provided by PPL Ironwood, LLC are to be applied to projects that will improve aquatic habitat, water quality and recreational access in these watersheds. There is currently no call-for-projects. An announcement seeking proposals for projects will be made through a Commission press release.

Tulpehocken and Quittapahilla Creek Watershed grants are given for stream

restoration, habitat enhancement, and recreational enhancement activities on streams located in the Tulpehocken and Quittapahilla Creek watersheds. This funding is available through a settlement agreement between the Pennsylvania Fish and Boat Commission and PPL Ironwood, L.L.C. related to the operation of an electric generation facility located in South Lebanon Township, Lebanon County.

Only project proposals located within the Tulpehocken and/or Quittapahilla Creek watersheds are considered. The monies can be used for stand-alone projects or to supplement other funding for larger projects that are designed to improve aquatic habitat, water quality, or recreational use. Examples of acceptable activities include fishery habitat restoration or enhancement; fish stocking; fishing access; maintenance or creation of facilities to encourage, improve or expand recreational use of the fishery; or studies related to these issues.

York & Lancaster Counties Habitat Improvement Grant Program

The Peach Bottom Atomic Power Station (PBAPS) is an electrical power generating facility located along the Susquehanna River in Peach Bottom Township, York County and Fulton and Dunmore townships, Lancaster County. On July 23, 2014, the Pennsylvania Department of Environmental Protection (PADEP) issued a Section 401 Water Quality Certification to Exelon Generation Company, LLC (Exelon) for the PBAPS Extended Power Uprate (EPU) project. The continued operation of the PBAPS and the EPU project will have impacts to the aquatic resources.

Therefore, Exelon will mitigate the impacts by providing \$100,000 per year to the Pennsylvania Fish and Boat Commission (PFBC) (or conservation district, other resource agency or 501(c)(3) corporation as directed by PADEP) as long as the PBAPS is in operation. This funding shall be deposited in a PFBC restricted revenue account, and all funds, including interest, shall be used for habitat improvement projects consistent with established guidelines.

Department of General Services (DGS)

dgs.pa.gov

DGS MISSION:

To help government operate more efficiently, effectively and safely, delivering exceptional value for all Pennsylvanians.

WHAT WE VALUE:

- **Customer Care** – We are committed to understanding our customers' goals and contributing to their success. Our customers educate our children; protect our health and environment, build our infrastructure, regulate our commerce and assist our neighbors and communities in need across Pennsylvania; they do vital, important work, and we are proud to be a part of it.
- **Quality & Innovation** – There is always a better way to do something. Our passion is finding it and putting it into practice.
- **Integrity** – We earn the public's trust by holding ourselves to the highest ethical standards, every day. No exceptions.
- **Diversity, Inclusion and Small Business Opportunities** – How we do business impacts our communities. We are working to build a more inclusive economy in Pennsylvania.
- **Our Team** – We support each other's personal and professional growth, because they are essential to our mission in a rapidly changing and increasingly challenging environment.

GRANT PROGRAMS:

COSTARS

The commonwealth's cooperative purchasing program makes it easier and more cost-effective for local public entities and certain non-profits registered within the DGS to purchase a broad selection of goods and services from COSTARS-designated contracts. For more information, including a complete list of registered communities and organizations eligible to purchase (members), COSTARS-designated contracts and awarded suppliers, visit www.costars.state.pa.us.

CONTACT – 1-866-768-7827

The Federal Surplus Property Program

Offers a limitless variety of property to municipalities and other nonprofit organizations within Pennsylvania. From paper to vehicles, from computers to concrete block, DGS can help meet your needs while saving money with surplus. Property available in inventory

is viewable at the Harrisburg warehouse or online. Requests for any specific property that may not be in current inventory are also accepted so the department can find it elsewhere and arrange for its direct allocation to your location.

The property itself is donated from the federal government; however, a service charge is incurred to cover the state's cost of administering the program.

CONTACT – 1-800-235-1555

The 1033 Excess Property Program

Provides Pennsylvania local law enforcement agencies an excellent opportunity to acquire excess Department of Defense (DOD) equipment and supplies to use in the line of duty. Body armor, photographic equipment, computers, night-vision goggles, vehicles, and many other items can be acquired to protect the men and women who serve the community. The property is donated by the Federal Government, and requires compliance. An annual fee based on the number of officers (starting at

\$400/year) enables agencies to acquire property all year without a charge.

CONTACT – 717-787-6197

The 1122 New Property Program

Enables Pennsylvania local law enforcement, emergency response and Homeland Security agencies to purchase new equipment and supplies suitable for counterdrug activities from federal contracts. Because of its volume purchasing power, the federal government receives tremendous discounts on many of these items. DGS researches the federal contracts and provides quotes. A service charge of 4 percent of the purchase price up to \$50,000, or \$500, whichever is less, is incurred. For purchases above \$50,000 but less than \$100,000, the service charge is \$750; and for purchases valued greater than \$100,000, there is a \$1,000 service charge.

CONTACT – 717-787-6197



The Fixed Price Sales Vehicle Program

The Fixed Price Sales Vehicle Program offers General Services Administration (GSA) fleet vehicles for sale before these vehicles go to public auction. Cars, trucks, vans, alternative fuel vehicles, pick-up trucks, ambulances, and fire trucks with low mileage and only one prior owner are all available. View current inventory online or submit a request for a specific vehicle.

CONTACT – 717-525-5805



The State Surplus Property Program

The State Surplus Property Program offers commonwealth-owned office equipment and supplies for sale to the general public. Items may be purchased at the Harrisburg warehouse or online. The Harrisburg warehouse hours are 8 a.m. to 3 p.m., Monday through Friday. Additionally, semi-annual Pennsylvania Department of Transportation (PennDOT) heavy equipment sales of off-road equipment, bridge equipment, dump trucks, and other road maintenance equipment are conducted. A private municipal sale is held first prior

to the items being offered to the general public. To register for the private municipal heavy equipment sale, please register online.

CONTACT – 717-787-9068



Transportation Security Administration (TSA)

Voluntarily confiscated items and lost and found property such as pocket knives, sporting equipment, jewelry, tools, belts and other miscellaneous items are offered for sale to the general public at the Harrisburg warehouse or online at www.GovDeals.com.

CONTACT – 717-772-1733

Used Vehicle Auctions

Provides the public with opportunities to purchase commonwealth used vehicles. Bi-monthly auctions are conducted at the Manheim Central Penn Auto Auction in Grantville, Pennsylvania.

CONTACT – 717-787-3162

Bureau of Diversity, Inclusion and Small Business Opportunities

The Bureau of Diversity, Inclusion and Small Business opportunities is responsible for assisting Small and Small Diverse (including minority-owned; woman-owned; veteran-owned; service-disabled veteran-owned; LGBT-owned; and Disability-owned) business enterprises in identifying and competing for Commonwealth contracting opportunities.

CONTACT – 717-783-3119

Pennsylvania Historical & Museum Commission

phmc.pa.gov

The Historical and Museum Commission (PHMC) administers multiple funding programs. Grant programs are available to nonprofit organizations and local governments. Tax credits are available to owners of certified historic buildings in income producing use. There are no grant or tax credit programs available to private homeowners. This section provides information about each program and type of grant and tax credit.

Cultural and Historical Support Grant Program

The PHMC is pleased to announce that this year it will be awarding just under \$2 million in grants to qualified museums and official county historical societies under the Cultural and Historic Support program. This is a one-year, interim grant program using funds placed in PHMC's operating budget by the state legislature and restricted for this purpose only. Working in concert with legislative leadership to determine the best way to distribute these limited funds, applicants must meet organizational eligibility requirements and awards are based on a calculation that uses the operating budget from the most recently completed fiscal year. Grants awards are subject to the annual availability of funds from the Commonwealth of Pennsylvania.

ELIGIBLE ORGANIZATIONS – Museums located in Pennsylvania with annual operating budgets exceeding \$100,000 (excluding capital and in-kind services) and at least one full-time professional staff person (or approved equivalent).

Official County Historical Societies. If the operating budget is less than \$300,000, the organization must apply as an Official County Historical Society. Official County Historical Societies with operating budgets in excess of \$300,000 must apply as a Museum.

GOALS – Strengthen the Pennsylvania museum community; provide general operating support to museum and official county historical societies that are not supported by other state agency funding programs; provide financial support as unrestricted operating support to address primary needs of museums; allow applicants to determine and describe how they will use funds; keep application process fair and simple; determine award amounts using an equa-

tion based on a percentage of the previous year's operating budget; achieve geographical balance in the distribution of grants.

GRANT AWARDS – As a general rule, no county shall receive an allocation greater than 37.5% of the available funds (\$712,500 of the total \$1,900,000). In counties that exceed this cap, individual grant awards may be reduced from the maximum award. Grant awards amounts are prepared by agency staff and submitted to the Commission for approval.

- **Museums** - The awards for museums are based on a calculation that uses the operating budget from the most recently completed fiscal year. Based on projected funding scenarios, awards may range from 1% to 2% of annual operating budget depending on the number of eligible applicants. The maximum award for a museum is \$65,000.
- **Official County Historical Societies** - The awards for Official County Historical Societies range from \$2,500 to \$4,000.

WHO MAY APPLY – Museums, grant awards are a minimum of \$3,000 and not to exceed \$65,000. Institutions that meet the American Association of Museums' definition that "a museum must be a legally organized nonprofit institution, essentially educational in nature, with professional staff, which owns or utilizes tangible objects, cares for them, and exhibits them to the public on some regular schedule" may apply for grant assistance. The following are the types of museums that are eligible to apply:

- Children's Museums
- General Museums (with two or more equally significant disciplines)
- History Museums

- Historic Houses/Sites
- Military and Maritime Museums
- Natural History Museums
- Planetariums
- Science/Technology Centers
- Multipurpose Organizations. Individual museums within multipurpose government or tax-exempt organizations may apply for grants if they function as a discrete unit within the parent organization. A museum that is part of a larger organization will be deemed eligible if:
 - *The museum has administrative autonomy for its operations;*
 - *The museum has a fully segregated and itemized operating budget within that of the parent organization; and*
 - *The museum is able to separately and distinctly fulfill all eligibility and application requirements as defined in these guidelines.*

To apply for a Cultural and Historical Support grant, a museum must meet the following requirements:

- Must be located in Pennsylvania;
- Must have tax-exempt status (501(c)(3)) with the Internal Revenue Service or be an entity of local government;
- Must have been incorporated and have been exhibiting to the public for at least two years prior to the submission of the grant application; and
- Must have an operating budget in excess of \$100,000;
- Must have at least one full-time professional staff person (or approved equivalent);
- Must be registered with the Pennsylvania Commission on Charitable Organizations, as required.

OFFICIAL COUNTY HISTORICAL SOCIETIES – Grant awards are a minimum of \$2,500 and not to exceed \$4,000. To apply for a Cultural and Historical Support grant, an Official County Historical Society must meet the following requirements:

- Must be located in Pennsylvania;
- Must have a designation of the organization as the official historical society for the county;
- Must have been incorporated and operating for a minimum of two years as a membership group with at least 100 paid members prior to filing the grant application;
- Must hold at least one public meeting per year;
- Must have a properly adopted constitution and bylaws and have elected officers to conduct the business of the society;
- Must have tax-exempt status (501(c)(3)) with the Internal Revenue Service;
- Must have a permanent physical facility wherein books, documents, papers and other objects of historical interest are deposited and available to the public at least 100 days per year;
- Must have at least one full-time paid or unpaid staff member or the equivalent who is responsible for the operations and programs of the society; and
- Must sponsor programs relevant to county history including educational and public programs and have an active research and publications program.
- Must be registered with the Pennsylvania Commission on Charitable Organizations, as required.

WHO MAY NOT APPLY – Museums and others that are not eligible to apply include:

Art Museums, Aquariums, Arboretums, Botanical Gardens, Nature Centers, and Zoological Parks, Museums and historic sites operated by the Pennsylvania Historical and Museum Commission, Associate groups at museums and historic sites operated by the Pennsylvania Historical and Museum Commission, Management groups at

museums and historic sites owned by the Pennsylvania Historical and Museum Commission, Museums and historic sites operated by state or federal government agencies, Associate groups at museums and historic sites operated by state or federal government agencies

Museums with annual operating budgets less than \$100,000, Consortiums of museums, Individuals.

ELIGIBLE ACTIVITIES – Operating support activities cannot begin until the successful applicant has received a fully executed Grant Agreement.

Cultural and Historical Support Grants may be used to fund the following:

- Salaries and Wages
- Benefits
- Insurance
- Museum Store/Gift Shop
- Food Service
- Contractual
- Professional Development
- Travel
- Printing and Publication
- Telephone/Postage/All Telecommunications
- Fundraising/Development
- Marketing Supplies
- Equipment

INELIGIBLE ACTIVITIES – Cultural and Historical Support Grants may not be used to fund the following:

Endowments, Acquisition of objects for the collections, Capital improvement projects, Projects that serve a religious purpose or promote religious dogma, Exhibitions or public programs not available to the public or taking place outside of Pennsylvania, Prizes and awards, Expenses for entertainment, Lobbying-related expenses.

Keystone Historic Preservation Project Grants

As established under the Keystone Recreation, Park and Conservation Fund, funding under the Keystone Historic Preservation Project Grant program is available to nonprofit organizations and local governments for the planning and



development for publicly accessible historic resources listed in or eligible for listing in the National Register of Historic Places.

The purpose of the grant is to support projects that identify, preserve, promote and protect historic and archaeological resources of Pennsylvania for both the benefit of the public and the revitalization of communities. These guidelines address only the historic preservation project-related program. Please review the separate guidelines for the Keystone Historic Preservation Construction Grants for information pertaining to the bricks and mortar program.

The Pennsylvania Historical and Museum Commission also annually uses a portion of the realty transfer tax revenue to rehabilitate and maintain Commonwealth-owned historic sites and museums.

GUIDELINES FOR APPLICANTS –

The basic guidelines and general conditions for the Project Grant program are:

- Competitive application process based on publicly available evaluation criteria
- All applications must be prepared on the eGrant application system
- Eligible applicants are limited to nonprofit organizations and local governments
- Eligible applicants may apply for and receive either one construction-related OR project-related grant (not both)
- Historic resource must be located in Pennsylvania and documented as being listed in or eligible for the National Register of Historic Places
- Minimum Award \$5,000
- Maximum Award \$25,000
- Funding requests require a 50/50 CASH match

Funding is available in the categories of:

- Cultural Resource Surveys
- National Register Nominations
- Planning and Development Assistance
- Archaeology
- Projects must meet goals and objectives of Pennsylvania Historic Preservation Plan.
- Grant supported project expenditures cannot begin until the successful applicant has received a fully executed Grant Agreement
- Project related expenses are reimbursable; successful applicants need to maintain an adequate CASH match to ensure completion of their project during the grant period.

GRANT AWARDS & LEVELS OF FUNDING – Requests for funding to the Historic Preservation Project Grants may range from \$5,000 (for \$10,000 projects) to \$25,000 (for projects of \$50,000 and over) and require a 50/50 CASH match. The Commission may, at its discretion, consider applications for grants under \$5,000 and may approve partial funding for larger requests.

MATCHING REQUIREMENTS – Grants require a 50/50 CASH match in funds. Sources of the CASH match may include direct organizational funds or grant awards from private foundations, federal programs, or other state agencies. Salaries or wages paid to employees of the applicant are not considered to be cash contributions and cannot be included as matching funds! Although the cash match need not be secured when the application is made, evidence that the organization or municipality already has their match will be considered positively in the evaluation process. Applications that already have a full or partial cash matches show an investment on behalf of the organization and demonstrate institutional capacity for the successful completion of the project within the grant period. In-kind contributions may not be used to satisfy the matching requirement.

MAXIMUM NUMBER OF AWARDS – Eligible applicants may apply for only a Keystone Historic Preservation Project Grant OR a Keystone Historic Preserva-

tion Construction Grant, not both. Please note that the application due dates for both applications are March 1, 2017. Applicants meeting the relevant eligibility requirements may also apply for support through the Certified Local Government Grant Program.

AVAILABILITY OF FUNDS – Grant awards are subject to the annual availability of funds from the Commonwealth of Pennsylvania. Funding is based on the state fiscal year, July through June.



WHO MAY APPLY – The following are the types of organizations that are eligible to apply. Please check with the grant manager to confirm organizational eligibility.

- Certified Local Governments
- Colleges and Universities
- Conservancies
- Historic Preservation Organizations
- Historical Societies
- Local Governments
- Museums
- Religious Institutions
- Other historical organizations
- Museums and historic sites that are owned by the Pennsylvania Historical and Museum Commission but operated by independent nonprofit organizations
- Multipurpose Organizations. Individual subunits (e.g. Moravian Pottery and Tile Works) within multipurpose government (e.g. County of Bucks) or tax-exempt organizations may apply for grants if they function as a discrete unit within the parent organization. A subunit that is part of a larger organization will be deemed eligible if:

- *The unit has administrative autonomy for its operations;*
- *The unit has a fully segregated and itemized operating budget within that of the parent organization;*
- *The unit is able to separately and distinctly fulfill all eligibility and application requirements as defined in these guidelines.*
- *More than one qualified subunit within a multipurpose organization may apply for funding during the same grant cycle.*

WHO MAY NOT APPLY – Organizations and others that are not eligible to apply include:

Museums and historic sites operated by the Pennsylvania Historical and Museum Commission; Associate groups at museums and historic sites operated by the Pennsylvania Historical and Museum Commission; Management groups at museums and historic sites owned by the Pennsylvania Historical and Museum Commission; Museums and historic sites operated by state or federal government agencies; Associate groups at museums operated by state or federal government agencies; For-profit organizations or corporations; State government; Federal government; and Individuals.

ADDITIONAL ELIGIBILITY REQUIREMENTS – To apply for a Keystone Historic Preservation Project Grant, an organization must meet the following requirements:

Must be located in Pennsylvania; Must have tax-exempt status with the Internal Revenue Service or be an entity of local government; Must be incorporated and serving the public for at least five (5) consecutive years prior to the submission of the grant application; and, Must be registered with the Pennsylvania Department of State: Bureau of Charitable Organizations, as required. Information may be obtained by writing Bureau of Charitable Organizations, Commonwealth of Pennsylvania, Department of State, P.O. Box 8723, Harrisburg, PA 17105 or by calling (800) 732-0999.

The property, building, or community for which funds are requested must meet the following requirements;

Must be located in Pennsylvania. Must be documented as being listed in or eligible for the National Register of Historic Places; either individually or as a contributing property in a National Register Historic District (Applications that do not include appropriate documentation from the Pennsylvania State Historic Preservation Office will not be eligible for funding).

CATEGORIES OF SUPPORT – The following categories of support are broadly defined and include examples of the kinds of projects that may be considered for funding. The examples are by no means a complete list of eligible projects. Applicants are encouraged to discuss their project and ideas with the grant manager or their regional Community Preservation Coordinator.

CULTURAL RESOURCE SURVEYS – Grant assistance is available for conducting cultural resource surveys. (Grants may not be used to prepare Historic Resource Survey Forms for determinations of eligibility to the National Register.) Surveys may be organized by municipal or county limits, by drainage area or physiographic zone (for archaeological surveys), by historic theme, or by property or site types. Projects must be conducted in accordance with the Pennsylvania State Historic Preservation Office's standards, guidelines and documentation requirements. Contact the grant manager or regional Community Preservation Coordinator for advice on planning your survey. Consultants will be required to attend a special training session in Harrisburg, and this cost should be factored into the proposal.

Examples of projects include:

Historic sites surveys; architectural surveys; archaeological surveys; and thematic surveys.

NATIONAL REGISTER NOMINATIONS – Grant assistance is available for preparing nominations to the National Register of Historic Places for resources already determined eligible for listing by the Pennsylvania Historical and Museum Commission. (Grants may not be used to prepare Historic Resource Survey

Forms to establish eligibility) Nominations must be prepared in accordance with the Pennsylvania State Historic Preservation Office's standards, guidelines and documentation requirements. Contact the grant manager for advice on planning your project. Preparers will be required to attend a special training session in Harrisburg, and this cost should be factored into the proposal.

Examples of projects include:

Nominations for historic districts, including rural historic districts; multiple property nominations; and Nominations for archaeological sites. Although nominations for individual properties will be accepted, evaluation for the proposal will be based on preservation goals rather than recognition that the Register may provide and will be weighted less than nominations for historic districts or multiple resources during the selection process. Competitive applicants in this category must demonstrate that listing will advance a preservation plan for the resource and is a stepping stone to a local historic district or similar goal.

PLANNING AND PROJECT DEVELOPMENT ASSISTANCE – Grant assistance is available for predevelopment studies and planning for buildings or resources that are listed in or eligible for the National Register of Historic Places, either individually or as a contributing property in a National Register Historic District. Community, municipal or regional preservation plans must be prepared in accordance with the Pennsylvania State Historic Preservation Office's Guidance for Historic Preservation Planning.

Examples of projects include:

Preparation of historic preservation plans for a municipality or county or region; Historic structure reports; Design guidelines; Feasibility studies; Preparation of preservation plans for the treatment of archaeological sites; Preparation of plans for adaptive use of historic properties; and Preparation of plans that assist communities in the preservation and revitalization of historic resources including developing a Historic Architectural Review Board and/or a Certified Local Government.



Archaeology – Grant assistance is available to support activities that promote or enhance the understanding of Pennsylvania's prehistoric or historic archaeological resources. Archaeological projects can take the form of surveys, excavations, artifact analyses and various types of syntheses. Activities may not be associated with state or federal compliance projects; projects should meet the philosophy of Grant assistance is available to support activities that promote or enhance the understanding of Pennsylvania's prehistoric or historic archaeological resources. Archaeological projects can take the form of surveys, excavations, artifact analyses and various types of syntheses. Activities may not be associated with state or federal compliance projects; projects should meet the philosophy of Guidelines for Archaeological Investigations in Pennsylvania and the other PHMC policies on archaeological investigations and resources.

Examples of projects in addition to surveys, national register nominations and preservation plans include:

Development of regional site sensitivity models; Preparation of regional and/or temporal syntheses; Research and artifact analysis; and Problem-oriented excavation projects.

INELIGIBLE ACTIVITIES – Keystone Historic Preservation Project Grants may not be used to fund the following:

Mitigation activities performed as a condition or precondition for obtaining a state or federal permit or license; Requests for determination of eligibility for the National Register; Endowments; General operating support; Overhead or indirect costs for operation of organization; Capital improve-

ment projects, including “bricks and mortar” rehabilitation, restoration or preservation of historic buildings (eligible activity under the Keystone Historic Preservation Construction Grant Program); Existing part-time or full-time staff positions (this includes hiring part-time staff during their nonworking hours); Projects that have been initiated prior to the date of the award; Projects that serve a religious purpose or promote religious dogma; Forums, conferences, symposia, lectures, oral histories and exhibitions not available to the public or held outside of Pennsylvania; Prizes and awards; Expenses for entertainment, including food and beverage; and Lobbying-related expenses.

Keystone Historic Preservation Construction Grants

PHMC provides funding for construction activities through its Keystone Historic Preservation Construction Grants with revenue from the Commonwealth’s Keystone Recreation, Park and Conservation Fund. Funding is available to non-profit organizations and local governments for small construction projects for publicly accessible historic resources. (Private property owners are not eligible for funding under this program and may wish to refer directly to Federal Rehabilitation Investment Tax Credit Program for information on historic tax credits.) The purpose of the grant is to support projects that rehabilitate, restore, or preserve historic resources listed in or eligible for listing in the National Register of Historic Places. These guidelines address only construction-related activities. Please review the separate guidelines for the Keystone Historic Preservation Project Grants for information pertaining to the preservation projects. The Pennsylvania Historical and Museum Commission also annually uses a portion of the realty transfer tax revenue to rehabilitate and maintain Commonwealth-owned historic sites and museums.

GUIDELINES FOR APPLICATIONS –

- The basic guidelines and general conditions for the Construction Grant program are:
- Competitive application process based

on publicly available evaluation criteria

- All applications must be prepared on the eGrant application system
- Eligible applicants are limited to non-profit organizations and local governments
- Eligible applicants may apply for and receive either one construction-related OR project-related grant (not both)
- Historic resource must be located in Pennsylvania and documented as being listed in or eligible for the National Register of Historic Places
- Minimum Award \$5,000
- Maximum Award \$100,000
- Funding requests require a 50/50 CASH match

Funding is available in the categories of:

- Preservation
- Rehabilitation
- Restoration

Projects must meet goals and objectives of Pennsylvania Historic Preservation Plan. The plan is available for review at:

Pennsylvania Historic Preservation Plan. Grant supported project expenditures cannot begin until the successful applicant has received a fully executed Grant Agreement. Project related expenses are reimbursable; successful applicants need to maintain an adequate CASH match to ensure completion of their project within the grant period.

LEVELS OF FUNDING – Requests for funding to the Historic Preservation Construction Grants may range from \$5,000 (for \$10,000 projects) to \$100,000 (for projects of \$200,000 and over) and require a 50/50 CASH match. The Commission may, at its discretion, consider applications for grants under \$5,000 and may approve partial funding for larger requests.

MATCHING REQUIREMENTS – Grants require a 50/50 CASH match in funds. Sources of the CASH match may include direct organizational funds or grant awards from private foundations, federal programs, or other state agencies. Salaries or wages paid to employees of the applicant are not considered to be cash contributions and cannot be included as matching funds! Although the

cash match need not be secured when the application is made, evidence that the organization or municipality already has their match will be considered positively in the evaluation process. Applications that already have a full or partial cash matches show an investment on behalf of the organization and demonstrate institutional capacity for the successful completion of the project within the grant period. In-kind contributions may not be used to satisfy the matching requirement.

MAXIMUM NUMBER OF AWARDS – Eligible applicants may apply for only a Keystone Historic Preservation Project Grant OR a Keystone Historic Preservation Construction Grant, not both. Applicants meeting the relevant eligibility requirements may also apply for support through the Certified Local Government Grant Program.

AVAILABILITY OF FUNDS – Grant awards are subject to the annual availability of funds from the Commonwealth of Pennsylvania. Funding is based on the state fiscal year, July through June.

WHO MAY APPLY – Nonprofit organizations and public agencies that own or support a publicly accessible historic property listed, or eligible for listing, in the National Register of Historic Places, or that own or support a contributing historic property in a National Register Historic District may apply for grant assistance. Please check with the grant manager to confirm organizational eligibility.

- Certified Local Governments
- Colleges and Universities
- Conservancies
- Historic Preservation Organizations
- Historical Societies
- Local Governments
- Museums
- Religious Institutions
- Other historical organizations
- Museums and historic sites that are owned by the Pennsylvania Historical and Museum Commission but operated by independent nonprofit organizations
- Multipurpose Organizations. Individ-

ual subunits (e.g. Moravian Pottery and Tile Works) within multipurpose government (e.g. County of Bucks) or tax-exempt organizations may apply for grants if they function as a discrete unit within the parent organization. A subunit that is part of a larger organization will be deemed eligible if: The unit has administrative autonomy for its operations; the unit has a fully segregated and itemized operating budget within that of the parent organization; the unit is able to separately and distinctly fulfill all eligibility and application requirements as defined in these guidelines. More than one qualified subunit within a multipurpose organization may apply for funding during the same grant cycle.

WHO MAY NOT APPLY – Organizations and others that are not eligible to apply include:

Museums and historic sites operated by the Pennsylvania Historical and Museum Commission; Associate groups at museums and historic sites operated by the Pennsylvania Historical and Museum Commission; Management groups at museums and historic sites owned by the Pennsylvania Historical and Museum Commission; Museums and historic sites operated by state or federal government agencies; Associate groups at museums and historic sites operated by state or federal government agencies; Commonwealth-assisted libraries (Grants are available through the Department of Education.); For-profit organizations or corporations; State government; Federal government; and Individuals.

ADDITIONAL ELIGIBILITY REQUIREMENTS – To apply for a Keystone Historic Preservation Construction Grant, an organization must meet the following requirements:

Must be located in Pennsylvania. Must have tax-exempt status under the Internal Revenue Service or entity of local government; Must be incorporated and serving the public for at least five (5) consecutive years prior to the submission of the application; and must be registered with the Pennsylvania Department of State: Bureau of Charitable Organizations, as required.

Information may be obtained by writing Bureau of Charitable Organizations, Commonwealth of Pennsylvania, Department of State, P.O. Box 8723, Harrisburg, PA 17105 or by calling (800) 732-0999.

THE PROPERTY FOR WHICH FUNDS ARE REQUESTED MUST MEET THE FOLLOWING REQUIREMENTS – Must be located in Pennsylvania. Must be documented as being listed in or eligible for the National Register of Historic Places, either individually or as a contributing property in a National Register Historic District (Applications that do not include appropriate documentation from the Pennsylvania State Historic Preservation Office will not be eligible for funding.); Must be open and accessible to the public on a regular basis, not less than 100 days per year. (If it is not currently accessible to the public, the applicant must include detailed plans to open the property to the public as a result of the grant.)



GENERAL CONDITIONS – There are a number of general conditions for Keystone Historic Preservation Construction Grants. All grant assisted construction work must meet the Secretary of the Interior's "Standards for the Treatment of Historic Properties". Preservation covenants are required on all properties receiving funding from the Pennsylvania Historical and Museum Commission. The covenant is a formal agreement between the PHMC and the grantee in which the grantee agrees to ensure the maintenance and preservation of the architectural and historical characteristics that qualify the property as eligible for the National Register of Historic Places. The covenant is in place for a period of up to fifteen years and requires the owner to contact the PHMC for review and approval prior to the commencement of any substantial con-

struction that may adversely affect the property's eligibility for the National Register. Work cannot begin until the successful applicant has received a copy of a fully executed Grant Agreement. Project or design work must be initiated within forty-five days of the date that the fully executed Grant Agreement is sent to the grantee.

Grantees may be required to abide by the Pennsylvania Prevailing Wage Act if the estimated cost of project work exceeds \$25,000 and is supported by any public funds. Grantees are advised to seek legal counsel to determine whether the Act applies.

CATEGORIES OF SUPPORT – There are three categories of support defined in the following paragraphs. Examples of eligible projects, interior or exterior, are provided after the definitions and apply to all three categories.

Preservation

The act or process of applying measures necessary to sustain the existing form, integrity and materials of a historic property. Work, including preliminary measures to protect and stabilize the property, generally focuses upon the ongoing maintenance and repair of historic materials and features rather than extensive replacement and new construction. New exterior additions are not within the scope of this treatment; however, the limited and sensitive upgrading of the mechanical, electrical and plumbing systems and other code required work to make properties functional is appropriate within a preservation project.

Rehabilitation

The act or process of making possible a compatible use for a property through repair, alterations and additions while preserving those portions or features which convey its historical, cultural and architectural values.

Restoration

- The act or process of accurately depicting the form, features and character of a property as it appeared at a particular period of time by means of the removal of features from other periods in its history and reconstruction of missing features from the restoration period. The limited and sensitive upgrading of mechanical, electrical and

plumbing systems and other code related work to make properties functional is appropriate within a restoration project.

- Work may be interior or exterior. Specific examples of fundable project work include the following:
 - *Site work - subsurface investigation, selective demolition, drainage and restoration of designed landscapes and gardens;*
 - *Concrete - poured-in-place concrete, precast concrete, concrete restoration and cleaning;*
 - *Masonry - brick or stone repair or replacement, repointing mortar joints and cleaning;*
 - *Metals - structural metal framing and metal restoration or replacement;*
 - *Wood and Plastics - rough and finish carpentry, architectural woodwork and millwork;*
 - *Thermal and Moisture Protection - roofing, flashing, waterproofing, damp proofing and insulation;*
 - *Doors and Windows - repair and restoration, frame repair, replacement and hardware;*
 - *Finishes - lath and plaster repair, gypsum wallboard, ceramic tile, wood paneling, floors and painting;*
 - *Conveying Systems - elevators;*
 - *Mechanical - plumbing, fire protection systems, heating, cooling and air distribution;*
 - *Electrical - service, distribution and lighting; and*
 - *Accessibility improvements for people with disabilities.*

INELIGIBLE ACTIVITIES – Keystone Historic Preservation Construction Grants may not be used to fund the following:

Mitigation activities performed as a condition or precondition for obtaining a state or federal permit or license; New construction; Reconstruction such as recreating a building or landscape; Projects whose entire scope of work consists solely of routine or cyclical maintenance; Landscaping as part of

non-historic site improvements including parking lots, sidewalks, etc.; Archaeological excavations (Archaeology that is undertaken as part of a rehabilitation project to mitigate ground disturbing activities such as site work or foundation repair is eligible for reimbursement.); Projects which consist only of predevelopment work such as historic structure reports or construction documents (eligible activity under the Keystone Historic Preservation Project Program); and Acquisition of historic properties and/or land.

Pennsylvania Historic Preservation Incentive Tax Credit Program

The Department of Community and Economic Development (DCED) has released program guidelines, application forms and application submission dates for the new Pennsylvania Historic Preservation Tax Credit Program. General information on the program is available on DCED's website. Potential applicants are advised to review the program guidelines to understand the eligibility requirements, application process, review process, program limitations and the procedure to reserve and claim tax credits.

PHMC CONTACT – For more information on the tax credit program or to confirm the status of your project, please call Scott Doyle (717) 783-6012.



Pennsylvania Liquor Control Board

lcb.pa.gov

GRANTS FOR REDUCING UNDERAGE & DANGEROUS DRINKING

The PLCB awards more than \$1 million annually in grants that fund programs that focus on proven strategies to discourage and reduce both underage and dangerous drinking. Grants are awarded to schools, community organizations, municipalities, law enforcement organizations, non-profit organizations, institutions of higher education, and for-profit institutions, and grant-funded initiatives have included increased police patrols, social norms campaigns, "Parents Who Host, Lose the Most" campaigns, college alcohol assessment surveys, online alcohol education programs, peer training, and more.

If you wish to be notified via email regarding grant information and updates, please send an email to ra-lbeducation@pa.gov requesting to be placed on the grant distribution list. Applications for the 2019-2021 grant cycle will be accepted in early 2019.

ACT 39 GRANT

Act 39 of 2016 created the Pennsylvania Malt and Brewed Beverages Industry Promotion Board and authorized the PLCB to approve up to \$1 million annually for development and marketing of the Pennsylvania beer industry. The grant projects are approved by the Pennsylvania Malt and Brewed Beverages Industry Promotion Board.

The Board will annually will solicit proposals for grants to conduct promotion, marketing and research projects to increase the quality, profitability, production and sale of malt and brewed beverages produced in this Commonwealth.

PENNVEST has been empowered by Pennsylvania state law, Pennsylvania Infrastructure Investment Authority Act 16 of 1988, to administer and finance the Clean Water State Revolving Fund (CWSRF) and the Drinking Water State Revolving Fund (DWSRF) pursuant to the federal Water Quality Act of 1987, as well as to administer the American Recovery and Reinvestment Act of 2009 (ARRA) funds. PENNVEST also finances, through the issuance of special obligation revenue bonds, water management, solid waste disposal, sewage treatment and pollution control projects undertaken by or on behalf of private entities.

MAILING ADDRESS:

Pennsylvania Infrastructure Investment Authority
Room 434 Forum Building
607 South Drive
Harrisburg, PA 17120

Paul Marchetti, Executive Director
1-877-787-8137 (Toll Free)
pmarchetti@state.pa.us

Brion Johnson, Deputy Executive Director
717-783-6798
bjohnson@pa.gov

Steven Anspach, Project Manager
717-783-6589
sanspach@pa.gov

PENNVEST REGIONAL ASSISTANCE

Region 1 - Butler, Bradford, Cameron, Centre, Clarion, Clearfield, Clinton, Columbia, Crawford, Elk, Erie, Forest, Jefferson, Lawrence, Lycoming, McKean, Mercer, Montour, Northumberland, Potter, Snyder, Sullivan, Tioga, Venango, Union, Warren

Dave Henning
(717) 783-4490
dahenning@pa.gov

Region 2 - Allegheny, Armstrong, Beaver, Cambria, Fayette, Greene, Indiana, Somerset, Washington, Westmoreland

Daniel Mikesic
(717) 783-6673
dmikesic@pa.gov

Region 3 - Adams, Bedford, Berks, Blair, Bucks, Chester, Cumberland, Dauphin, Delaware, Franklin, Fulton, Huntingdon, Juniata, Lancaster, Lebanon, Mifflin, Montgomery, Perry, Philadelphia, York

Tesra Schlupp
(717) 783-8618
tschlupp@pa.gov

Region 4 - Carbon, Lackawanna, Lehigh, Luzerne, Monroe, Northampton, Pike, Schuylkill, Susquehanna, Wayne, Wyoming

Rebecca Kennedy
(717) 574-8454
rebkennedy@pa.gov

PENNVEST GROWING GREENER GRANTS



Under this initiative, PENNVEST has grant funds available for drinking water, wastewater and infrastructure flood control and nonpoint source projects. These are the same types of projects that PENNVEST has always funded, with the recent addition of nonpoint source projects. At this time, PENNVEST will be using these grant funds in its normal funding process, which means that we will be using grants to make these infrastructure projects more affordable for the users who ultimately must pay for them.

Please note that the application process is the same. Any municipality, authority

or private entity that is eligible under the PENNVEST program will be considered for the Growing Greener grant funds.

PENNVEST Implementation of Growing Greener

The Growing Greener initiative has created an opportunity for PENNVEST to participate in ways never before possible to promote sound land use, while simultaneously improving the Commonwealth's water resources. While these new opportunities will be implemented over time, there are immediate effects by which PENNVEST will help Pennsylvania Grow Greener in the very near future. The PENNVEST Board traditionally meets four times per year- January, April, July and November. Applications are accepted throughout the year and packaged for the meeting that follows the submittal, provided that the application is received by the due date, which is generally nine weeks prior to the scheduled meeting date.

Projects are evaluated based upon their impact on public health, the environment, economic development and other criteria. PENNVEST's funding offer, particularly as it pertains to a possible grant, is based upon the community's ability to pay for the planned improvement.

Exploring Future Possibilities

Even as we implement Growing Greener within the context of our existing program, PENNVEST is exploring other possible uses of Growing Greener grants. While we anticipate that using grants to make projects more affordable will always be an important consideration for PENNVEST, we welcome thoughts on ways that we could use these funds to further the goals of the Growing Greener program, or the PENNVEST program in general. If you want to share a suggestion with us, please submit your thoughts directly to the following address: pmarchetti@state.pa.us

DRINKING WATER, WASTE-WATER INFRASTRUCTURE, AND NONPOINT SOURCE PROJECT FINANCING / LOANS AND GRANTS

Description

Low-interest loans, loan guarantees and grants available to cover design, engineering, and construction of publicly and privately owned drinking water systems, wastewater treatment systems, and nonpoint source pollution abatement projects.

Eligibility

- Communities or private public water suppliers needing to construct, or upgrade existing, drinking water treatment and distribution infrastructure.
- Communities or private entities needing to construct, or upgrade existing, wastewater collection and or treatment system infrastructure.
- Communities or private entities that wish to implement a project for storm water management or implement a project to eliminate nonpoint source pollution from abandoned mine drainage, agriculture and urban runoff.

Eligible Uses

- Design and construction of publicly and privately owned drinking water treatment and distribution infrastructure and/ or wastewater treatment and collection system infrastructure.
- Design and construction of infrastructure to control storm water.
- Design and construction of projects to eliminate nonpoint source pollution from abandoned mine drainage, agriculture and urban runoff.

Ineligible Uses

- House laterals unless owned by the 'system', interior plumbing, curb to curb paving, land associated with wastewater construction projects
- Redesign, refinancing, costs associated with litigation
- Costs not associated with the project

Amounts

- Loans up to \$11 million per project for one municipality
- Up to \$20 million for more than one municipality
- Up to \$350,000 for design and engineering
- Up to 100% of the total project costs

Terms and Conditions

- 1% to 4% interest rate depending upon the resulting user rates in the community
- Grants depending upon the resulting residential user rates in the community system
- Terms depending upon the useful life of the asset being financed, as well as resulting user rates in the community
- Disbursement based upon reimbursable expenses

How to Apply

1. **Contact your regional PENNVEST Project Specialist for additional information and initial review of eligibility requirements.**

2. **Request a Planning Consultation with the Department of Environmental Protection (DEP) and PENNVEST.**

- The PENNVEST Project Specialist will identify the appropriate DEP Project Engineer. Please contact the DEP Project Engineer and request a Planning Consultation. Failure to request a Planning Consultation may prevent the further processing of your application.
- The purpose of the planning consultation is to identify the system needs in your community; perform a pre-feasibility assessment to identify specific planning elements required; examine alternative funding sources; and discuss procedures and information needed to complete the application process and implement the project. You should be prepared to describe any existing system and problems in reasonable detail. Your engineer should accompany you during the consultation if one has been selected.
- Following the planning consultation, a DEP Project Engineer will prepare a "Planning Consultation and Task Ac-

tivity Report Summary" describing the meeting contents and decisions reached. A copy of the report will be sent to you. The report will document the overall direction of your project agreed to in the consultation. You should carefully review this report.



3. Update your Planning

- For wastewater projects: You may be required to prepare or update your Official Sewage Plan as required under Act 537. If so, DEP will work closely with you and your consultant during preparation of your plan. Once the plan is finalized, adopted and approved by DEP, you must complete and submit to the Department of Environmental Protection a National Pollution Discharge Elimination System (NPDES) Part I Permit Application, if applicable. DEP will review your application and, if appropriate, issue a Part I Permit.

Upon receipt of the Part I Permit, you should contact your DEP Regional Project Engineer to arrange for a pre-design meeting. The meeting will address the effluent limitations included in the Part I Permit, design issues, budgetary cost estimates and other requirements.

- For drinking water projects: There is no Act 537-equivalent planning requirement for drinking water. You should follow up on the actions suggested in the Planning Consultation Report. This follow-up could include revisions to feasibility studies or proceeding with design or permit application.
- For all projects, please reference the DEP Guidelines for the Uniform Environmental Review Process in Pennsylvania.

4. Prepare final construction plans, specifications, and any other supporting documents to be used for the construction or installation of the project.

- When project design is complete, submit your Permit Application and/or permit-level, plans and specifications to DEP, who will review the package and, if appropriate, issue an approval authorizing construction and operation of the facility. *Note: all required DEP permits must be in place by the date that applications are due for any individual PENNVEST funding meeting.*

5. Apply online at the PENNVEST Website

- You can access this site directly by clicking “My Account” on the PENNVEST homepage.

6. PENNVEST Processes the Application

- If your application is to be recommended to the Board for funding consideration, PENNVEST will notify you in writing of the Board meeting at which your application will be considered. Following the meeting, you will be notified in writing of the action taken on your application. Every effort will be made to present funding proposals to the Board for consideration if complete applications were received by PENNVEST and DEP prior to the published cutoff dates.
- **CAUTION!** Complete all planning, request all appropriate permits, and participate in the planning consultation. Complete a website application and provide one set of plans and specifications to the appropriate DEP Engineer. Include all supplements and identify on the attachment. Complete the application certificate. **DO NOT START CONSTRUCTION WITHOUT PRIOR WRITTEN PENNVEST AUTHORIZATION.**
- In order to help protect the financial investment in the proposed improvements, all recipients must provide a continuing education plan prior to loan amortization.

BROWNFIELD REDEVELOPMENT LOANS

Low-interest loans for the remediation of sites that have been contaminated by past industrial or commercial activity and pose a threat to local groundwater or surface water sources (“brownfield”).

Eligibility

- Owner of property that needs to be remediated in order to mitigate water quality impacts.

Eligible Uses

- Specific assessment in conjunction with remediation activities on contaminated properties across the Commonwealth. These activities must be related to a water quality benefit, which can include the prevention of contamination. The purpose of this brownfield remediation financing initiative is to encourage the cleanup and reuse of contaminated properties while improving and protecting local water resources.
- Drinking water, wastewater and storm water infrastructure facilities are also eligible.



Ineligible Uses

- Any construction activity not necessary for remediation or containment of hazardous substances, pollutants, or contaminants released at the site, with the exception of water-related infrastructure facilities.
- Improvements at the site to enhance real estate value but not related to site remediation efforts, including, but not limited to, any new structures or site reuse appurtenances (unless and only to the extent they provide a “cap” as part of the remediation).

- Demolition or repair of buildings on or adjacent to the site, unless such demolition activity can be shown to be necessary for remediation of hazardous substances, pollutants or contaminants released at the site that create or contribute to the creation of water quality degradation.
- Permanent relocation of residents.
- Testing not directly related to site remediation.
- Payment of any administrative or civil fines and associated legal fees.
- Refinancing of existing debt.

Funding

- Loans up to \$11 million per project for one municipality.
- Up to \$20 million for projects that serve two or three municipalities.
- Amounts more than \$20 million require a special vote of the PENNVEST Board of Directors, and for comprehensive projects that serve all or parts of four or more municipalities.

Terms and Conditions

- The term of loans under this initiative is twenty years. Loan amortization will be on a monthly basis and will begin upon approval by DEP of the final report documenting attainment of cleanup standard(s) at the site pursuant to Act 2 or, if remediation is not required, upon receipt of a letter from DEP stating that no further action is required at the site.
- Loan amortization maybe deferred for up to five years from the date that the loan would otherwise begin amortization, although nominal principal payments will be required during the deferral period. Such deferment will be allowed as an exception to normal loan terms and must be requested by the borrower and approved by PENNVEST. Deferment will not extend the date of final loan amortization but rather will serve to reduce the amortization period.
- Interest rates on loans will be at the allowable county maximum rates, as defined in section 10(f) of the Act. Interest accrues from the date that funds are disbursed to the borrower. However, interest can be capitalized at

the option of the borrower and included in loan principal at the time of amortization.

How to Apply

Each applicant must participate in a planning consultation with their regional PENNVEST Project Specialist and DEP. Application materials will be provided at the time of the meeting. Click on Get Regional Assistance for your regions contacts.

In addition to the paper application for Brownfield Redevelopment funding, you must complete the Project Description section and Economic Development Impact section on the PENNVEST Online Funding website. You are only required to complete the sections identified above as part of the Brownfield Redevelopment application process and are not required to complete any other sections. For questions regarding completion of this part of your application, contact your PENNVEST regional project specialist or Brion Johnson at bjohnson@pa.gov or (717) 783-6798.

PENNVEST currently takes action on applications four times each year at Board meetings held in January, April, July and October. Applications are due 60 days prior to the date of the Board meeting. Upon approval of an application submitted pursuant to this policy and prior to initiation of on-site redevelopment and/or remediation activities, PENNVEST and the applicant will execute the master loan agreement and any additional documents required specific to the project (e.g., a note and documents related to collateral). Projects can proceed prior to approval of a PENNVEST application and execution of the loan documents provided that the applicant has obtained PENNVEST's prior consent. Borrowers may submit subsequent applications to PENNVEST for additional projects to be performed under the terms of the master loan agreement. Upon approval of these projects, appropriate amendments to the loan documents will be made to include the terms of the additional financing and information relating to the additional projects.



CLEAN WATER STATE REVOLVING FUND (CWSRF)

The PENNVEST Clean Water State Revolving Fund (CWSRF) program provides funding to projects throughout Pennsylvania for the construction and maintenance of wastewater treatment facilities, storm water management projects, nonpoint source pollution controls, and watershed and estuary management.

This program offers low interest loans with flexible terms to assist a variety of borrowers that include local governments, municipalities, and privately owned entities and to establish partnerships to leverage other funding sources.

The CWSRF program is managed under the Pennsylvania State Regulations for PENNVEST funding wastewater projects. In partnership with the Pennsylvania Department of Environmental Protection, management occurs during project planning, application submission, contracting and financing, and site inspection and reporting.

The United State environmental protection Agency (EPA) provides federal oversight of the national EPA Clean Water (CWSRF) program in all 50 states and Puerto Rico under laws, regulations and policy of the EPA Clean Water State Revolving Fund program.

Projects funded under the CWSRF program have been recognized for performance and innovation by EPA through the National Pisces Awards.

ON-LOT SEWAGE DISPOSAL LOANS

PENNVEST, in cooperation with the Pennsylvania Housing Finance Authority, provides low-cost financing to improve, replace, or repair individual on-lot sewage disposal systems in order to meet public health and environmental safety standards.

Eligibility

All citizens of the Commonwealth who meet the program criteria. Detailed eligibility requirements can be obtained by contacting the Pennsylvania Housing Finance Authority or one of the participating program lender. All privately-owned primary residences are eligible unless a community waste water collection and treatment system is either currently in place, or planned for construction within the next five years.

Eligible Uses

Rehabilitation, improvement, repair or replacement of an existing system located on a single family, owner-occupied property which is the primary residence of the owner. Project costs may include design costs, construction fees and costs, permit fees, loan origination, and legal fees. First-time hook-ups to community wastewater collection



and treatment systems.

Ineligible Uses

Project construction costs incurred before loan approval are not eligible; construction MAY NOT begin prior to receiving loan approval.

Funding

- Loans up to a maximum of \$25,000.
- Loans have an interest rate of 1% and an annual servicing fee of 0.75%.
- Borrowers must be able to demonstrate their ability to repay the loan through a local lender.

Terms & Conditions

Loans must be secured by a mortgage lien on the borrower's home. The maximum term is twenty years; loan repayment commences within sixty days of loan closing. Loan must be immediately repaid if the property on which the project is located is either sold or transferred. Loan origination and servicing fees are charged. On-lot systems have to be kept in good repair and regularly pumped to ensure that the systems do not malfunction and fail to adequately treat wastewater or cause a public hazard. A pumping frequency schedule and reporting requirements will be included in the loan agreement.

How to Apply

Contact a participating local lender to see if you qualify for credit approval of a loan. See the Pennsylvania Housing Finance Authority Participating Lender List below, or call PHFA at 1-800-822-1174 for a current listing.

An application fee of \$65 will be collected, but it is a reimbursable fee if your loan is closed and disbursed.

If credit approval is given, contact your municipal offices and have them certify that your proposed project is not in an area which is currently served, or planned to be served, by public sewers and will not be served by public sewers within the next five years.

Next, contact the local sewage enforcement officer (SEO) to determine if the repair or replacement of your on-lot system is eligible for permitting under all applicable local and state regulations.

The system must then be designed, and the designing SEO or professional engineer (PE) must certify that the system proposed is the most cost-effective solution for your property.

The municipal SEO will then review the design, and will then issue a permit for the system.

Construction on the system cannot begin before the approval of the loan.

FOR ADDITIONAL ASSISTANCE CALL:

Pennsylvania Housing Finance Authority at 1-800-822-1174

Department of Environmental Protection (717) 787-3481 or your local DEP office

Department of Transportation

dot.state.pa.us

MULTIMODAL TRANSPORTATION

Multimodal Transportation

Fund – Act 89 authorizes state funding through the Multimodal Transportation Fund for aviation, freight and passenger rail, public transit, ports and waterways, highway/bridge, and bike and pedestrian projects. The program provides financial assistance to municipalities, councils of governments, businesses, economic development organizations, public transportation agencies, rail/freight, and ports. Eligible projects are those that coordinate local land use with transportation assets to enhance existing communities; projects that relate to streetscape, lighting, sidewalk enhancement, and pedestrian safety; projects that improve connectivity or utilization of existing transportation assets; and projects related to transit-oriented development. Eligible applicants may apply for multimodal transportation funding to fund up to 70 percent of the total project cost. Grants are available for projects with a total cost of \$100,000 or more. Grants will not exceed \$3,000,000.

APPLICATION DEADLINE – Application deadlines vary and are available on the PennDOT website

CONTACT – David J. Bratina, 717-705-1230. Email: djbratina@pa.gov



Pennsylvania Transportation Alternatives Program (TAP) –

The Pennsylvania Transportation Alternatives Program provides funding for programs and projects defined as trans-

portation alternatives including bicycle and pedestrian facilities, safe routes to schools, and trail projects that serve a transportation purpose such as trails that connect to schools, parks, or other public areas. There is an 80/20 cost share for shovel-ready projects, and PennDOT will pay for 100 percent of right-of-way acquisition costs. Applicants pay for any pre-construction costs but these upfront costs may be eligible for Department of Conservation and Natural Resource (DCNR) funding.

PennDOT's website provides program guidance, the eligibility determination form and an application. For questions on eligibility for DCNR funding contact your DCNR Bureau of Recreation and Conservation regional advisor.

BUREAU OF AVIATION

Aviation Development

Program – This state-funded program provides funds for airport development. The program is funded out of the Aviation Restricted Account which is comprised of a state tax on jet fuel sold in Pennsylvania. Eligible projects are funded up to 75 percent state and 25 percent local funds. Available only to public use airports.

CONTACT – Emily Brownawell, 717-705-1223. Email: ebrownawel@pa.gov

Capital Budget/Aviation Transportation Assistance Program

– This state-funded program provides funds for improvement projects to airports with public sponsors. Federally eligible projects can be funded up to 75 percent and non-federal are eligible for 50 percent. The remaining share is paid by the local sponsor/owner. Letters are sent to eligible sponsors prior to release. Available only to airports with public sponsors. Projects must be in an active capital budget bill.

CONTACT – Emily Brownawell, 717-705-1223. Email: ebrownawel@pa.gov

Federal Airport Improvement Program

– This federally funded program is administered by the Bureau of Aviation as a block grant state. It provides funds for airport development. Eligible projects are funded with 95 percent federal, 5 percent state and 2½ percent local funds. Available to general aviation and non-primary commercial airports that are either publicly owned or designated relievers.

Qualifying airports must be listed in the National Plan of Integrated Airport Systems (NPIAS).

CONTACT – Emily Brownawell, 717-705-1223. Email: ebrownawel@pa.gov



Pennsylvania Infrastructure

Bank-Aviation (PIB) – This revolving loan fund is administered by PennDOT for eligible airport development at public use airports. The interest rate is one-half the prime lending rate. Maximum loan term of 10 years.

CONTACT – Emily Brownawell, 717-705-1223. Email: ebrownawel@pa.gov

Real Estate Aviation Tax

Rebates – This program is funded through tax on aviation gas (for propeller-driven piston aircraft) and limited to taxes collected in the previous year. It provides reimbursement equal to the amount of local real estate taxes paid for aviation-related areas (non-revenue producing). Eligible grant requests exceeding funds available are prorated.

APPLICATION DEADLINE – February 1 for taxes paid the preceding year.

CONTACT – Emily Brownawell, 717-705-1223. Email: ebrownawel@pa.gov

BUREAU OF MAINTENANCE AND OPERATIONS



Highway Safety Grant Program

– This federally funded program provides funds for behavioral highway safety initiatives. Eligible grant recipients must be governmental units unless otherwise identified. Local matching funds may be required based on the section of funding per NHTSA.

Grants are awarded to support Pennsylvania's Strategic Highway Safety Improvement Plan (SHSIP). Funds are granted to perform data-driven and result-oriented specific activities to implement strategies identified in the SHSIP.

April – Grant programs are identified by the Safety Advisory Committee (SAC) for the pending federal fiscal year beginning October 1st. Non-state governmental units are required to utilize the dotGrants online grant management system (www.dot34.state.pa.us/).

Grantees are required to adhere to all pre-approval criteria to include: concise problem identification, agency qualifications, and a detailed action plan. Additionally, all grantees must comply with all reporting requirements as well as participation in intermittent and final performance assessments.

CONTACT – Thomas R. Glass, 717-783-2113. Email: thglass@pa.gov

Green Light-Go: Pennsylvania's Municipal Signal Partnership Program

– The Green Light-Go: Pennsylvania's Municipal Signal Partnership Program, also known as the "Green Light-Go Program", provides state funds for the operation and maintenance of traffic signals along critical and designated corridors on state highways. Act

89 of 2013 created Title 75, Section 9511(e.1) [Allocation to Municipalities for Traffic Signals] which is a new funding program for designated corridors. The Department developed a similar traffic signal modernization and improvement program for critical corridors designed to improve safety and mobility by reducing congestion and improving efficiency on key state highways.

Automated Red Light Enforcement (ARLE) Funding Program

– In October 2010, PennDOT established as identified within the Pennsylvania Vehicle Code [75 Pa.C.S. §§3116(1)(2), 3117(m)(2), and 3117(m)(2.1)], an Enhancement Grant Program also known as the ARLE Funding Program. Details regarding the ARLE Funding Program can be found in the Pennsylvania Code (Title 67; Chapter 233; Transportation Enhancement Grants from Automated Red Light Enforcement System Revenues). The ARLE Funding Program is focused to low-cost safety and mobility improvements.

Pennsylvania Bulletin announcement specifying the acceptance of applications between June 1 and June 30. Applicants are required to obtain the latest grant application and fill out appropriately.

Additional ARLE Funding Program information can be found at:

<http://www.dot.state.pa.us/signals>

PROGRAM EMAIL ADDRESS – ARLE_Grants@pa.gov

Contact – Daniel Farley, 717-783-0333. Email: dfarley@pa.gov

BUREAU OF MOTOR VEHICLES

Motor Vehicle Titling and Registration for Totally Disabled Veterans

– Vehicles of totally disabled veterans, whose disability has been certified as service connected, will not be charged a fee for the titling and registration of their vehicle. Documentation certifying disability from the service unit of the armed forces in which the veteran served or by the United States Veterans' Administration as being service connected needs to be attached to the MV-1

or MV-4ST (for initially titled vehicles) or to their registration renewal form.

CONTACT – PennDOT Bureau of Motor Vehicles, Riverfront Office Center, 1101 S. Front St., Harrisburg, PA 17104. Phone: 717-412-5300. Website: www.dmv.pa.gov



Reduced Motor Vehicle

Registration – Retired persons can register a passenger vehicle or a light-duty truck up to 9,000 pounds for a \$10 processing fee instead of the usual registration fee.

Those eligible must be a retired Pennsylvania resident receiving Social Security or other pension and whose total annual income does not exceed \$19,200 annually. A completed MV-371 is required.

The \$10 processing fee instead of the usual registration fee is also available to: any veteran who lost a limb or eye or who became partially paralyzed while serving in the Armed Forces of the United States; a person who, as a member of the Armed Forces of the United States, was captured by the enemy during World War I, World War II, the Korean Emergency, the Vietnam Conflict or in a future armed conflict for which the Department of Defense authorizes a campaign medal; or any person who has been awarded the Medal of Honor.

CONTACT – PennDOT Bureau of Motor Vehicles, Riverfront Office Center, 1101 S. Front St., Harrisburg, PA 17104. Phone: 717-412-5300. Website: www.dmv.pa.gov

BUREAU OF DRIVER LICENSING

Free Photo ID when driver surrenders driver license –

Drivers who wish to surrender their license (usually for medical reasons) will be given a free photo identification card, valid for four years. Drivers whose licenses are recalled for medical reasons are also issued the free, four-year ID.

CONTACT – PennDOT Bureau of Driver Licensing, Riverfront Office Center, 1101 S. Front St., Harrisburg, PA 17104. Phone: 717-412-5300. Website: www.dmv.pa.gov.

BUREAU OF RAIL, FREIGHT, PORTS AND WATERWAYS



Capital Budget Rail Freight Assistance –

This state-funded program provides financial assistance to railroads and users of the rail freight transportation system for rail freight maintenance and construction projects. The annual grant program has a current funding allocation of \$30 million. Only entities that have an authorization in a current Capital Budget Act are eligible to apply. Eligible types of projects include rail maintenance and construction. Maximum state funding for both types of projects is 70 percent of the total project cost, but shall not exceed the line item amount authorized by the specific capital budget bill containing the budget authorization.

The open application periods are posted on PennDOT's website at: www.dot.state.pa.us.

Applications must be submitted electronically via the PennDOT Grant Management System. To access the application, go to www.dot34.state.pa.us/egrants.

CONTACT – Lori Lubic, 717-783-8763. Email: llubic@pa.gov

Pennsylvania Infrastructure Bank (PIB) for Rail Freight Projects –

This is a PennDOT-operated revolving loan fund that offers flexible financing opportunities for eligible transportation improvement projects throughout the commonwealth. Rail Infrastructure Construction and Rehabilitation projects are the types of projects financed. The interest rate on PIB loans is fixed at one-half the prime lending rate with terms up to 10 years.

Applications may be submitted at any time and are considered on an ongoing basis. The PIB Handbook, which contains additional information and the PIB loan application, is available at www.dot.state.pa.us.

CONTACT – Hugh McGowan, 717-787-5798. Email: hmcgowan@state.pa.us.

Rail Freight Assistance

Program (RFAP) – This program provides state-funded financial assistance for investment in rail freight infrastructure to preserve rail freight service and stimulate economic development through the generation of new or expanded rail freight service. RFAP is a grant program with an annual funding stream of \$10 million. In addition, RFAP Marcellus Shale-related grant projects are eligible for the Marcellus Shale fee funding of \$1 million annually. The maximum state funding for any project is \$700,000, or no greater than 70 percent of the actual total project cost, whichever is less. The funding for the new construction portion of any project cannot exceed \$250,000. The final grant award will be based upon actual bid costs or agreed upon prices if work is to be performed by your own labor forces.

Types of projects eligible for funding:
Maintenance – includes replacement of ties, rail, plates, turnouts and other track materials. See PennDOT's website, www.dot.state.pa.us, for more details.
Construction – consists of the cost to purchase ties, rail, ballast and other

track material to construct a railroad line (where none exists) or rail-associated facility.

The open application periods are posted on PennDOT's website at www.dot.state.pa.us.

Applications must be submitted electronically via the PennDOT Grant Management System. To access the application go to www.dot34.state.pa.us/egrants.

Applications compete for funding and all projects are scored and ranked and receive funding based on the total score received.

CONTACT – Lori Lubic, 717-783-8763. Email: llubic@pa.gov

Marcellus Shale Program – This program, funded through Act 13, provides state-funded assistance to companies operating in a Marcellus Shale region for investment in rail infrastructure to provide rail service related to the shipping of Marcellus Shale products. Annual funding is \$1 million and the program follows the policies and guidelines of the RFAP.

The open application periods are posted on PennDOT's website at www.dot.state.pa.us.

Applications must be submitted electronically via the PennDOT Grant Management System. To access the application go to www.dot34.state.pa.us/egrants. Applications compete for funding and all projects are scored and ranked and receive funding based on the total score received.

CONTACT – Lori Lubic, 717-783-8763. Email: llubic@pa.gov



BUREAU OF PUBLIC TRANSPORTATION

Public Transportation Operating Assistance

– State funding is provided to help cover the operating costs of the 37 transit systems offering fixed-route public transportation in the commonwealth. Routine maintenance of facilities and vehicles is also eligible under this program. Funding is distributed based on a legislative formula. Local matching funds must be provided in order to receive funding.

Legislation enacted July 2007 and amended November 2013.

APPLICATION DEADLINE – May 31.

CONTACT – LaVerne Collins, 717-787-1214. Email: lcollins@pa.gov

Federal 5311 Rural Transit Operating Assistance

– This federally funded program is administered by the Bureau of Public Transportation. This program provides state and federal funds for public transportation projects serving rural communities with population less than 50,000. The purpose of these funds is to address the mobility needs of Pennsylvania's rural population. Section 5311 grants are intended to provide access to employment, education, health care, shopping, and recreation. Applicants typically are either political subdivisions of the commonwealth (i.e., county, city, borough, township, etc.) or local transportation authorities incorporated under the Pennsylvania Municipal Authorities ACT of 1945.

APPLICATION DEADLINE – May 31

CONTACT – Anthony Stever, 717-783-9559. Email: astever@pa.gov

PUBLIC TRANSIT CAPITAL ASSISTANCE

State funding is provided to local operators of public transportation systems to cover the cost of capital improvements which include the purchase/overhaul of public transportation facilities and vehicles, including buses, rail passenger vehicles, administrative and maintenance facilities, shop tools, equipment, two-way communication systems, transfer centers, bus shelters, etc. All capital projects must be included in the state capital program to be eligible for funds. Funds are distributed based on need in the following programs:

Asset Improvement Program – Funds are distributed first based on legislative formula distribution to SEPTA and PAAC and the remainder based on transit agency need. This program requires a local match.

Legislation enacted July 2007 and amended November 2013.

APPLICATION DEADLINE – July 31

CONTACT – Robert Sharp, 717-783-9461. Email: rosharp@pa.gov

Capital Improvement Program

– Funding distributed by formula to transit agencies based on ridership. This program requires no local match. Act 89 of 2013 terminated new funding for this program as of December 31, 2013. Only funds deposited in the account as of December 31, 2013 are available.

Legislation enacted July 2007 and amended November 2013.

APPLICATION DEADLINE – July 31

CONTACT – Robert Sharp, 717-783-9461. Email: rosharp@pa.gov

Federal 5311 Rural Transit Capital Assistance

– This federally funded program is administered by the Bureau of Public Transportation. This program provides for public transportation capital projects serving rural communities with populations less than 50,000. State and federal funds are available annually for the purposes of replacing and/or upgrading equipment used to provide Rural 5311 operating

services. Applicants typically are either political subdivisions of the commonwealth (i.e., county, city, borough, township, etc.) or local transportation authorities incorporated under the Pennsylvania Municipal Authorities ACT of 1945. This program does require a local match.

APPLICATION DEADLINE – July 31

Contact – Robert Sharp, 717-783-9461. Email: rosharp@pa.gov

Community Transportation Capital Equipment

– State and federal funds are available annually for the purpose of replacing and/or upgrading equipment used to provide community transportation/shared ride services.

APPLICATION DEADLINE – July 31

ELIGIBILITY – All counties except Allegheny and Pittsburgh are eligible to apply for these funds.

CONTACT – Aaron Wolff, 717-787-1200. Email: aawolff@pa.gov



Federal Transit Capital Assistance for Purchase of Accessible Small Transit Vehicles

(Section 5310 Program – Federal) – Private, nonprofit organizations may apply for federal grants to fund 80 percent of the cost of wheelchair-accessible vehicles and other related transportation equipment. The remaining 20 percent must be provided by the applicant. Public transit agencies are also eligible for funding under this program.

APPLICATION DEADLINE – July 31



CONTACT – Travis Hoover, 717-787-1209. Email: trahoover@pa.gov

Intercity Bus Assistance

Program – PennDOT contracts with private intercity bus companies to provide scheduled, fixed-route service along routes which are considered essential links in the regional/statewide network of intercity bus services, but which cannot be financially supported solely from user fares. Intermodal terminals that are publicly owned may receive capital funding under this program. This program provides both state and federal funds and requires a local match, at least equivalent to state funding for operating assistance.

APPLICATION DEADLINE – May 31

CONTACT – James McLaughlin, 717-705-1492. Email: jamemclaug@pa.gov

Intercity Rail Passenger Program

Program – PennDOT contracts with AMTRAK for rail passenger service in the Philadelphia-to-Harrisburg (Keystone) and Philadelphia-to-Pittsburgh (The Pennsylvanian) corridors to complement services provided within the commonwealth by AMTRAK as part of their national rail passenger service network. Capital improvements and operating costs are supported under this program.

APPLICATION DEADLINE – No deadline

CONTACT – Steven Panko, 717-787-1202. Email: stepanko@pa.gov

New Initiatives – If funds are available for this program, those funds would be used for new or expanded fixed-guideway systems that use and occupy a separate right of way or rail used exclusively for public transportation or

high occupancy vehicles. This program will require local matching funds.

APPLICATION DEADLINE – To be determined

CONTACT – LaVerne Collins, 717-787-1214. Email: lcollins@pa.gov

Rural Persons with Disabilities Program

Program – This program provides reduced fares on shared-ride, advance reservation, curb-to-curb transportation services for persons with disabilities in all counties except Philadelphia. The program pays up to 85 percent of the general public one-way shared-ride fare if the trip is not eligible under any other funding program.

To qualify for the reduced fare, persons with disabilities must present proof of disability to the local shared-ride provider in their county. Passengers must reserve their trip at least one day in advance, be willing to share the vehicle with other riders, and pay 15 percent of the full general public one-way shared-ride fare.

APPLICATION DEADLINE – May 31

Eligibility – Shared-ride transportation systems in all counties except Philadelphia.



CONTACT – JoEllen Clapsadl, 717-772-1788. Email: jclapsadl@pa.gov

Shared-Ride Program for Senior Citizens

Program – This program enables senior citizens 65 and older to use shared-ride, advance reservation, curb-to-curb services and pay only a small percentage of the regular general public one-way shared-ride fare. A senior citizen, or a sponsoring agency, pays 15 percent of the general public one-way shared-ride fare and State Lottery pro-

ceeds administered by the department are used to reimburse the participating service provider up to 85 percent of the fare. There are no restrictions on the hours of use other than the service hours of the transportation providers.

A person must be at least 65 years of age to qualify for reduced-fare transportation on a participating transit system. Passengers must reserve their trip at least one day in advance, be willing to share the vehicle with other riders, and pay any fare remaining after the lottery and sponsor contributions.

APPLICATION DEADLINE – May 31

CONTACT – John Taylor, 717-787-1217. Email: tjohn@pa.gov

Transit Research and Demonstration Projects

Program – Funding is available for technical assistance, research projects and short-term demonstration projects. Local transportation organizations and municipalities/counties are eligible to apply for this funding. Local funds equal to at least 3.33 percent of the state grant must be provided.

APPLICATION DEADLINE – N/A

CONTACT – Danielle Spila, 717-787-1208. Email: dspila@pa.gov

Welfare to Work (w2w) – State funds are available annually for the purpose of reducing transportation-related barriers that prevent low-income individuals (incomes less than 200 percent of the Federal Family Poverty Income Guidelines) from accessing employment and being dependable workers. Eligible applicants are county/municipal governments (except Allegheny and Philadelphia) and local transportation organizations/authorities.

APPLICATION DEADLINE – May 31

CONTACT – JoEllen Clapsadl, 717-772-1788. Email: jclapsadl@pa.gov

BUREAU OF MUNICIPAL SERVICES

Agility Program – This innovative, streamlined program is designed to make the most of limited resources by exchanging services between PennDOT

district and county maintenance offices and public procurement units such as municipalities, charitable hospitals, school districts, metropolitan and rural planning organizations, volunteer fire departments, law enforcement agencies, state and federal government agencies, community colleges, etc. Agility allows the exchange of services, instead of money, between PennDOT and eligible partners. For example, PennDOT may patch potholes along a township road in exchange for having the township's crew mow along state roads. The program enables local governments to accomplish more than they could have with their own limited resources and increases our collective effectiveness and efficiencies for the general public.

Agility agreements have a contract life of five years with a three-year renewal clause for a total of eight years. The exchange of services must be of a reasonably equal value, detailed on a single-page work plan, and approved by PennDOT, the partner and AFSCME.

CONTACT – Bonnie Fields, 717-772-1772. Email: bfields@pa.gov



Highway Transfer (Turnback) Program

Program – The commonwealth enacted Act 70 in July 2006 amending Act 1983-32, authorizing an increase in the annual maintenance payment rate for all turnback roads from \$2,500 per mile to \$4,000 per mile. This annual maintenance payment is made in perpetuity for the maintenance of the roadway and is paid to municipalities in April of each year. The objective of this program is to transfer the ownership of state-owned roads that predominantly carry local traffic, carry low numbers of vehicles on a daily basis, and/or would better serve the community if operated under municipal jurisdiction. PennDOT either rehabilitates the roadway before the

transfer takes place or provides the municipality with funding to rehabilitate the roadway to improve its quality and service life. Local officials must adopt a resolution authorizing the acceptance of the transfer. Each PennDOT district office has a turnback coordinator who can assist local governments.

CONTACT – Bonnie Fields, 717-772-1772. Email: bfields@pa.gov

BUREAU OF PLANNING AND RESEARCH

PennDOT's Local Technical

Assistance Program – PennDOT's Local Technical Assistance Program offers local governments practical training in efficient maintenance, essential traffic safety, and sound management practices for their roadways and bridges. Training is supplemented by one-on-one technical assistance via phone, email, and site visits and through publications highlighting recent practical technological advances. Most LTAP services are available at little or no cost to municipalities to help them meet their transportation needs.

CONTACT – Lou Ferretti, 717-787-2598 or 1-800-FOR-LTAP. lferretti@pa.gov or LTAP@state.pa.us

PENNDOT PUBLIC-PRIVATE PARTNERSHIPS

Act 88 of 2012 authorizes public private transportation projects in Pennsylvania. This allows PennDOT and other transportation authorities and commissions in the state to enter into agreements with the private sector to participate in the delivery, maintenance and financing of transportation-related projects.

A P3 project is a contractual agreement between a public entity and private entity that transfers the responsibility of a facility's engineering, construction, operation and/or maintenance to the private sector for a defined period of time. P3's also allow the private sector to perform by contract a service previously provided by the public sector; and en-

ures the private firm receives payments either from existing revenue sources or through the collection of new tolls or user fees.

The two basic P3 project types are:

- **New Build Facilities** – Adding capacity to the system by building something new.
- **Existing Facilities** – Improving capacity or performance of the current system through a P3 arrangement.

CONTACT – Michael Bonini at 717-787-3154, P3ForPA@pa.gov

Public Private Partnerships Office
P.O. Box 3545, Harrisburg, PA 17105

PENNSYLVANIA STATE TRANSPORTATION INNOVATION COUNCIL

In 2010, FHWA introduced the State Transportation Innovation Council (STIC) concept to state transportation departments and industry partners to foster ownership and pride in establishing a process in which ideas, innovative techniques and processes can be evaluated and implemented quickly and proficiently.

Pennsylvania's STIC evaluates well researched and proven technologies that are ready to be implemented in the field. Technologies, tactics, and techniques that are selected will be employed and promoted to become standard practice within the transportation community at the local, regional, or statewide level.

The STIC is a cross-section of various stakeholders, state and federal agencies, local governments, research organizations and industry partners that work together to forge an environment of innovation, imagination and ingenuity to pursue specific initiatives and their rapid implementation to deliver a modern and high-quality transportation system to the citizens of the Commonwealth.

To assist the STIC in selecting initiatives that should be promoted and implemented, Technical Advisory Groups (TAGs) have been created to review, evaluate and provide suggestions on po-

tential benefits and uses of initiatives or techniques. The TAGs are responsible for ensuring that chosen initiatives succeed in improving the transportation system and are required to develop deployment plans and track the initiatives progress.

The TAGs created thus far are focused in the following areas: Construct, Design, Environmental, Facilities, Maintenance, Materials, Project Delivery, Safety, Structures, Work Force Development and Traffic Management.

USDOT TRANSPORTATION ALTERNATIVES

Fiscal year	2016	2017	2018	2019	2020
Authorization	\$835M	\$835M	\$850M	\$850M	\$850M

Program purpose:

The FAST Act eliminates the MAP-21 Transportation Alternatives Program (TAP) and replaces it with a set-aside of Surface Transportation Block Grant (STBG) program funding for transportation alternatives (TA). These set-aside funds include all projects and activities that were previously eligible under TAP, encompassing a variety of smaller-scale transportation projects such as pedestrian and bicycle facilities, recreational trails, safe routes to school projects, community improvements such as historic preservation and vegetation management, and environmental mitigation related to stormwater and habitat connectivity.

Statutory citation- FAST Act § 1109; 23 U.S.C. 133(h)

Type of budget authority – Contract authority from the Highway Account of the Highway Trust Fund, subject to the overall Federal-aid obligation limitation. The FAST Act directs the Secretary to set aside, for TA, an amount from each State’s STBG apportionment, such that—

The State receives a share of the national total TA funding that is determined by multiplying the amount of the national total TA funding by the ratio that the amount of FY 2009 transportation enhancements (TE) funding to the State

bears to the total amount of TE funds apportioned to all States in FY 2009; and

The national total for TA is \$835 million per year for FYs 2016 and 2017 and \$850 million in FYs 2018-2020.

Suballocation- A portion of transportation alternatives funding is suballocated based on population, in a manner identical to funding under the prior TAP. [23 U.S.C. 133(h)(2)]



Set-aside of funds – Unless the Governor opts out in advance, for each fiscal year FHWA is to set aside for the State’s Recreational Trails Program (RTP) an amount of TA funds equal to the State’s FY 2009 RTP apportionment. FHWA administers this set-aside identically to the RTP set-aside under the prior TAP. [23 U.S.C. 133(h)(5) and (6), 23 U.S.C. 206]

Transferability to other Federal-aid apportioned programs – A State may transfer to the National Highway Performance Program, National Highway Freight Program, the STBG Program, Highway Safety Improvement Program, and Congestion Mitigation and Air Quality Improvement Program up to 50% of TA funds made available each fiscal year for TA projects in any area of the State. Suballocated funds distributed by population or set-aside for RTP are not transferable to other apportioned programs. [23 U.S.C. 126]

Federal share – As a general rule, the Federal share for TA is in accordance with 23 U.S.C. 120. However, the Federal share for projects under the RTP set-aside is determined in accordance with 23 U.S.C. 206(f). (See the “Federal Share” fact sheet for additional detail.)

Eligible activities

Generally, TA eligibilities are the same as those under the prior TAP, except the FAST Act—

- Newly allows an urbanized area with a population of more than 200,000 to use up to 50% of its suballocated TA funds for any STBG-eligible purpose (but still subject to the TA-wide requirement for competitive selection of projects); and [23 U.S.C. 133(h)(6)(B)]
- Eliminated TAP’s “Flexibility of Excess Reserved Funding” provision (which allowed the use of excess TAP funds for any TAP-eligible activity or for projects eligible under the Congestion Mitigation and Air Quality Improvement Program).

Program features

As under TAP, the FAST Act requires all TA projects to be funded through a competitive process. Eligible applicants include all entities that were eligible to apply for TAP funds. The FAST Act also allows nonprofit entities responsible for the administration of local transportation safety programs to apply.

The FAST Act newly requires States and metropolitan planning organizations (MPOs) to report annually to DOT on project applications and projects that are awarded TA funding (including the RTP set-aside). DOT must make these reports available to the public.

Except as specified above, FHWA administers the TA set-aside identically to funding under the prior TAP, including the relative roles of State DOTs (which generally administer TA funding) and MPOs that represent urbanized areas with populations of more than 200,000 (which are involved in project selection); and the requirement that each TA-funded project (except for those funded under the RTP set-aside) be treated as a project on a Federal-aid highway.

Miscellaneous

PENNSYLVANIA BROADBAND INVESTMENT INCENTIVE PROGRAM

The Pennsylvania Broadband Investment Incentive Program will provide incentive funding to those broadband providers who apply for and subsequently are awarded support in the upcoming Federal Communications Commission (FCC) Connect America Fund ("CAF") CAF Phase II Reverse Auction ("CAF II Auction"). Incentive funding will be provided to those providers who commit to providing at least 100/20 Megabits per second (Mbps) broadband service in applicable Program areas, with future capabilities of 1 Gigabits per second (Gbps) service, and commit to building out the awarded CAF II areas by mid-2022. The Program will provide funding from the Commonwealth that will enable bidders proposing to serve areas in Pennsylvania to bid more competitively in the aforementioned CAF II Auction.

Any provider who wishes to participate will be subject to state guidelines and requirements that will ensure that service is delivered by June 30, 2022 and broadband speeds meet or exceed 100 Mbps, while encouraging the delivery of gigabit service.

Applicants in the Program must identify the type of entity that is seeking funding, which may include any of the following:

- Consortium
 - Corporation
 - General Partnership
 - Government Entity
 - Limited Liability Company
 - Limited Liability Partnership
 - Limited Partnership
 - Any Other Class of Entity
- Eligible for the CAF II Auction

Interested bidders are encouraged to file a Preliminary Expression of Interest starting April 2, 2018. To file a Preliminary Expression of Interest,

a potential applicant should submit a letter to the Program indicating the Pennsylvania County or Counties in which it may be interested in seeking Commonwealth support and a preliminary proposal regarding the transportation benefit(s) the applicant may be able to offer. Preliminary Expressions of Interest will be accepted on a rolling basis through May 4, 2018.

Instructions on how to submit a Preliminary Expression of Interest letter will be posted to the Program website at governor.pa.gov/broadband/.

PENNSYLVANIA GAME COMMISSION

pgc.pa.gov

Pennsylvania State Wildlife Grants Program

The State Wildlife Grants program (SWG) is the nation's core program for preventing species from becoming endangered. This federal program provides needed funds to states to develop and implement conservation actions that benefit wildlife and their habitat, including species not hunted or fished. Created in 2000 by Congress, SWG has enabled the Pennsylvania Game Commission and Pennsylvania Fish & Boat Commission to direct conservation efforts toward species in decline or vulnerable to decline, with the goal of preventing endangered species listings. State Wildlife Grant funding to Pennsylvania has ranged from \$1.5 to \$2.5 million per year, split equally between the Game Commission and Fish & Boat Commission.

CONTACT – Cathy Haffner,
chaffner@pa.gov

PENNSYLVANIA SMALL BUSINESS DEVELOPMENT CENTERS

PASBDC.org

The Pennsylvania Small Business Development Centers (SBDC) are the only statewide, nationally accredited program that provides high quality one-on-one consulting, training and information resources to empower new and existing businesses. The SBDC program is a public/private partnership with the U.S. Small Business Administration, the Pennsylvania Department of Community and Economic Development and 18 universities and colleges across the Commonwealth. The mission of the network of Pennsylvania Small Business Development Centers (SBDC) is to provide entrepreneurs and small business owners with the knowledge needed to make smart decisions and prosper.

Consulting Services

Since its inception in 1980, the Pennsylvania Small Business Development Centers (SBDC) has evolved into a network of 18 university and college-based centers and more than ninety outreach locations operating under the guidance of the Lead Office located at the University of Pennsylvania.

The Pennsylvania SBDC provides consulting services and educational programs to entrepreneurs looking to start or grow their small business. SBDC consultants work with entrepreneurs in confidential, one-to-one sessions to help them with a range of business issues including testing a new business proposition, shaping a business plan, investigating funding opportunities, and much more.

Pennsylvania Department Contact Information

Agriculture

2301 North Cameron Street
Harrisburg, PA 17110
General Information: (717) 787-4737
www.agriculture.pa.gov

Center for Rural Development

625 Forster Street, Room 902
Harrisburg, PA 17120
(717) 787-9555 • F: 717.772.3587
info@rural.palegislature.us

Community & Economic Development

Commonwealth Keystone Building
400 North Street, 4th Floor
Harrisburg, PA 17120-0225
1.866.466.3972 • www.dced.pa.gov

Conservation & Natural Resources

Agriculture Office Building &
Laboratories
2301 North Cameron Street
Harrisburg, PA 17110-9408
717-787-4737 • www.dcnr.state.pa.us

Emergency Management Agency

1310 Elmerton Avenue
Harrisburg, PA 17110
717-651-2001 • www.pema.pa.gov

Environmental Protection

Rachel Carson State Office Building
400 Market Street
Harrisburg, PA 17101
Phone: (717) 783-2300
www.dep.pa.gov

Film Office

400 North St #4, Harrisburg, PA 17120
(717) 783-3456 • filminpa.com

Pennsylvania Fish and Boat Commission Headquarters

1601 Elmerton Avenue
PO Box 67000
Harrisburg, PA 17106-7000
717-705-7800

Game Commission Headquarters

2001 Elmerton Avenue
Harrisburg, PA 17110-9797
Phone: (717) 787-4250

General Services

515 North Office Building
Harrisburg, PA 17125
www.dgs.pa.gov

Governor's Action Team

400 North Street, Plaza Level
Commonwealth Keystone Building
Harrisburg, PA 17120
1-888-483-2672

Governor's Budget Office Main Office

19th Floor, Harrisburg 2
333 Market Street, Harrisburg PA 17101
(717) 787.2542 • budget@pa.gov

Governor's Center for Local Government Services

400 North Street, 4th Floor
Commonwealth Keystone Building
717-787-8169

Historical & Museum Commission

300 North Street
Harrisburg, PA 17120
(717) 787-3362 • www.phmc.pa.gov

Liquor Control Board

Northwest Office Building
Harrisburg, PA 17124-0001
717-7837637
lcb.pa.gov

Office of the State Fire Commissioner

2605 Interstate Drive # 300
Harrisburg, PA 17110
(717) 651-2001 • www.osfc.pa.gov

Penn State Extension

www.extension.psu.edu

Pennvest

22 South 3rd Street #5
Harrisburg, PA 17101
(717) 783-6798 • www.pennvest.pa.gov

SBDC Lead Office

The Wharton School
University of Pennsylvania
3440 Market St., Suite 400
Philadelphia, PA 19104-3338
(215) 898-1219

Transportation

Riverfront Office Center
1101 South Front Street
Harrisburg PA 17104-2516
1-800-932-4600 • www.dmv.pa.gov

USDA

U.S. Department of Agriculture
1400 Independence Avenue, S.W.
Washington, DC 20250
(202) 720-2791 • www.usda.gov

USDA, Pennsylvania
359 East Park Drive, Suite 4
Harrisburg, PA 17111-2747
(717) 237-2299

Pennsylvania Municipal Leaders **Grant & Resource Guide**



Senator Lisa Baker **20th District**

Western District Office
22 Dallas Shopping Center
Memorial Highway
Dallas, PA 18612
(570) 675-3931
FAX (570) 674-5037

Eastern District Office
2512 Route 6
Hawley, PA 18428
(570) 226-5960
FAX (570) 226-5964

Harrisburg Office
Senate Box 203020
Harrisburg, PA 17120-3020
(717) 787-7428
FAX (717) 787-9242

Toll Free (888) 736-1153
TTY (800) 364-1581

lbaker@pasen.gov
senatorbaker.com